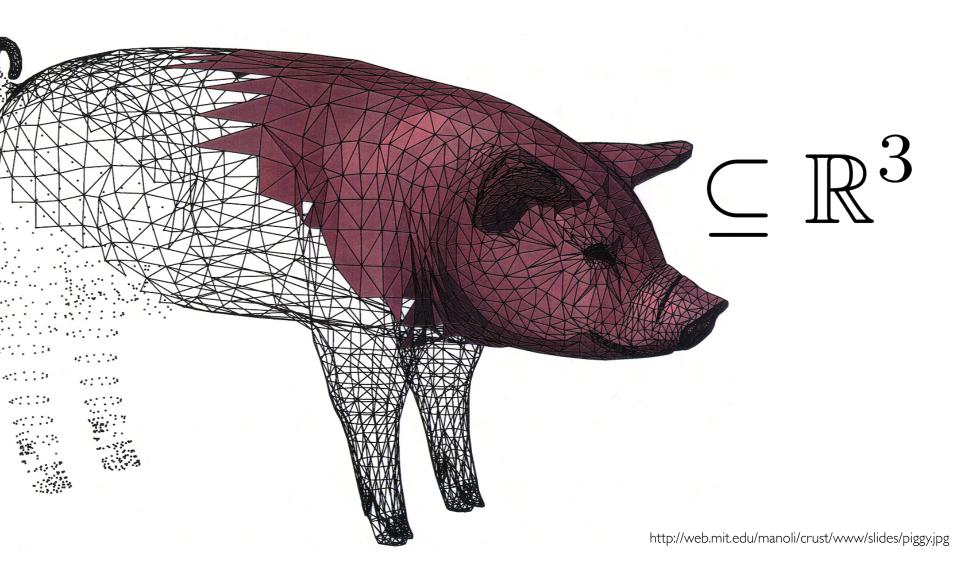
#### **Office Hour**

Check Piazza

# L2: Surfaces

Hao Su

# **Our Focus Today: Surface**



# **Agenda**

Parameterized Surface

Manifold

Differential Map

Curvature

Principal Curvature

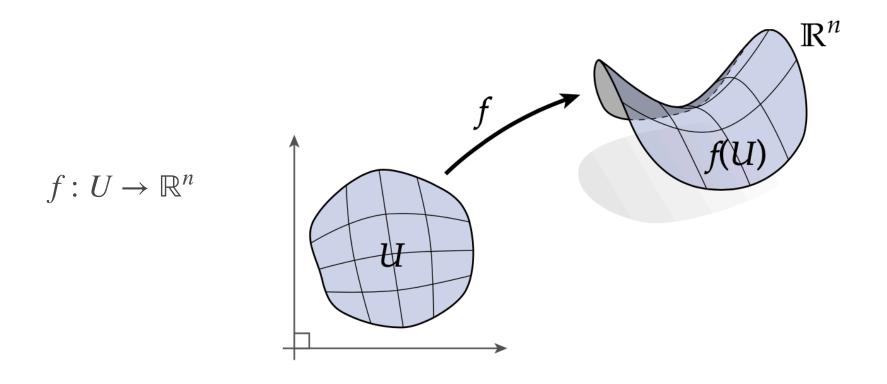


Lots of (sloppy) math!

#### **Parameterized Surface**

#### **Parametrized Surface**

A parameterized surface is a map from a twodimensional region  $U \subset \mathbb{R}^2$  into  $\mathbb{R}^n$ 

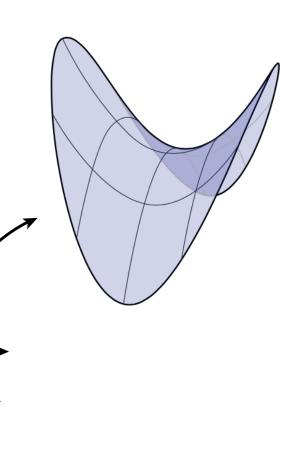


The set of points f(U) is called the **image** of the parameterization.

# **Example**

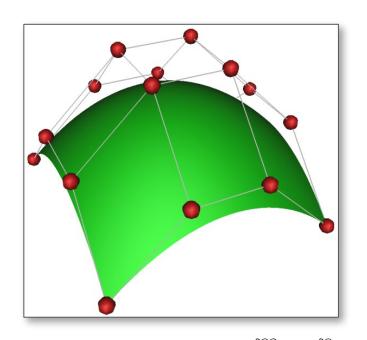
• Example: We can express a saddle as a parameterized surface:

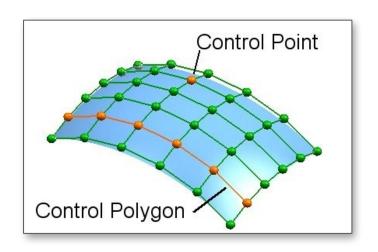
$$U := \{(u, v) \in \mathbb{R}^2 : u^2 + v^2 \le 1\}$$
$$f(u, v) = [u, v, u^2 - v^2]^T$$



#### **Application: Bezier Surface, Spline Surface**

- Smoothly "interpolate" between a set of points  $P_i$ 



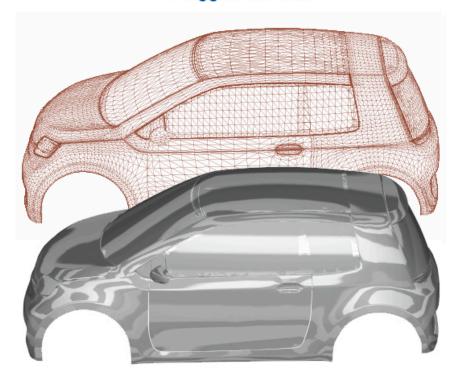


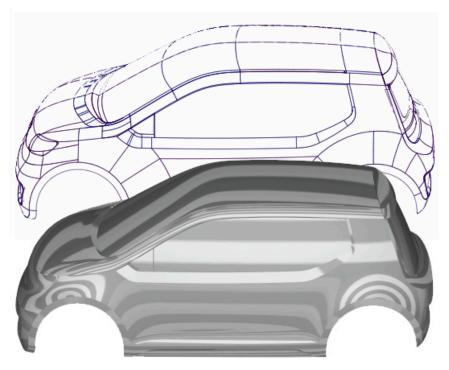
$$s(u, v) = \sum_{i=0}^{m} \sum_{j=0}^{n} \mathbf{p}_{i,j} B_i^m(u) B_j^n(v)$$

#### **Application: Bezier Surface, Spline Surface**

Widely used in design industry (e.g., car modeling)

Polygon model





NURBS model

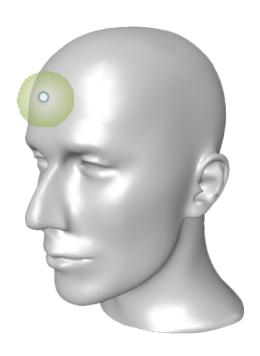
Poor surface quality

Pure, smooth highlights

# (Differentiable) Manifold

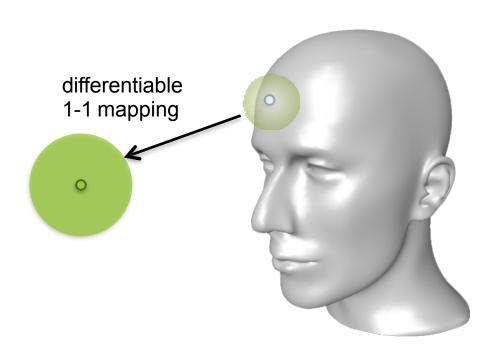
# **Smoothness as a Local Property**

 Things that can be discovered by local observation: point + neighborhood



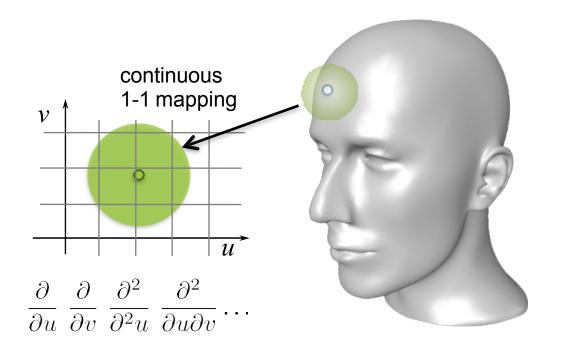
#### **Local Smoothness**

 Things that can be discovered by local observation: point + neighborhood



#### **Local to Global**

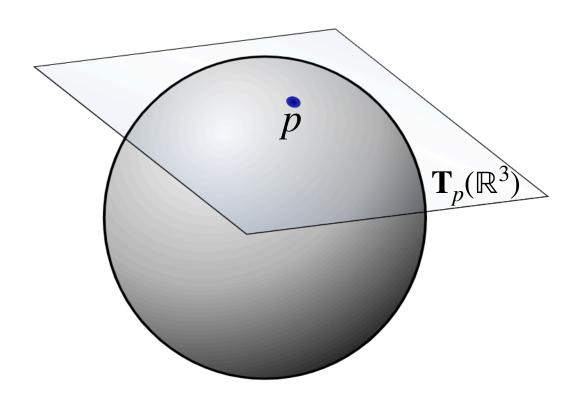
 Things that can be discovered by local observation: point + neighborhood



Tangents, normals, curvatures, curve angles, distances

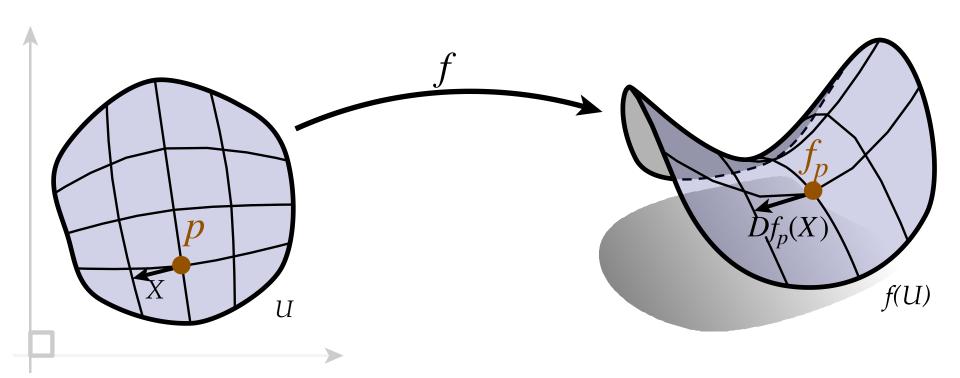
# **Tangent Plane**

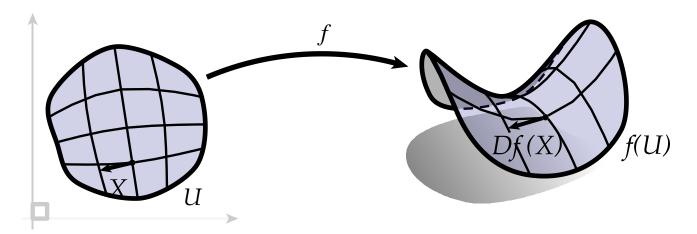
- ullet One can attach to every point p a tangent plane  $\mathbf{T}_p$
- Intuitively, it contains the possible directions in which one can tangentially pass through p.



# **Differential Map**

 Relate the movement of point in the domain and on the surface

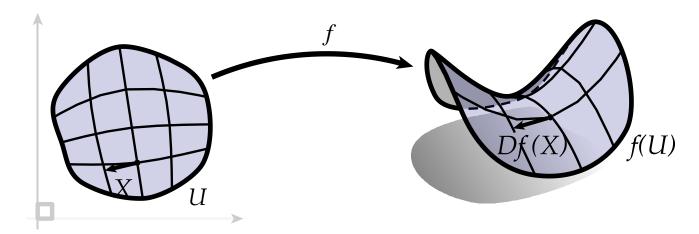




Total differential:  $df = \frac{\partial f}{\partial u}du + \frac{\partial f}{\partial v}dv \Longrightarrow \Delta f \approx \frac{\partial f}{\partial u}\Delta u + \frac{\partial f}{\partial v}\Delta v$ 

If point  $p \in \mathbb{R}^2$  moves along vector  $X = [u, v]^T$  by e, the movement of  $f_p$  is:

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \begin{bmatrix} u \\ v \end{bmatrix}$$

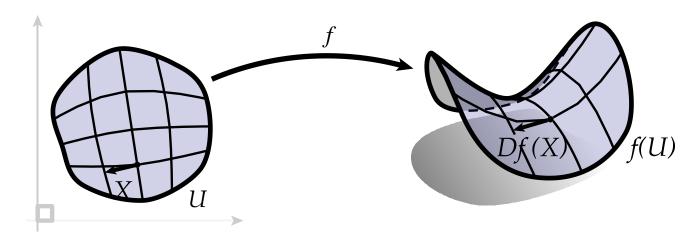


Total differential: 
$$df = \frac{\partial f}{\partial u}du + \frac{\partial f}{\partial v}dv$$

If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of  $f_p$  is:

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix}$$

$$Df_p := \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \in \mathbb{R}^{3 \times 2}$$

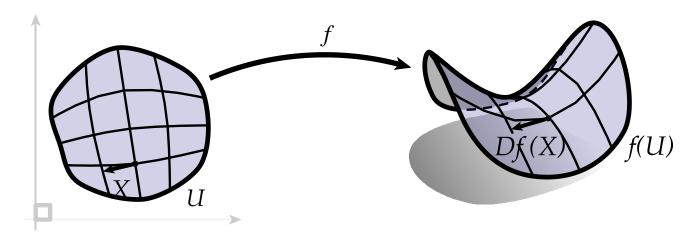


Total differential: 
$$df = \frac{\partial f}{\partial u}du + \frac{\partial f}{\partial v}dv$$

If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of  $f_p$  is:

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$$Df_p := \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \in \mathbb{R}^{3 \times 2}$$
  $Df_p$ : differential (Jacobian) a linear map.

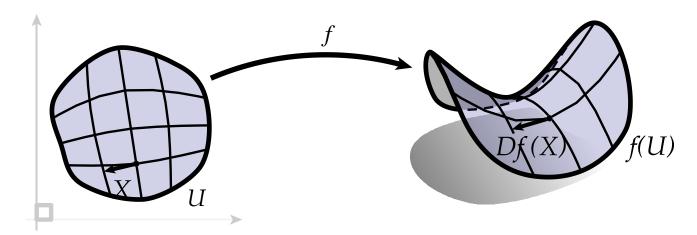


Total differential: 
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If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of  $f_p$  is:

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$$Df_p := \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \in \mathbb{R}^{3 \times 2} \text{ velocity in 2D domain}$$



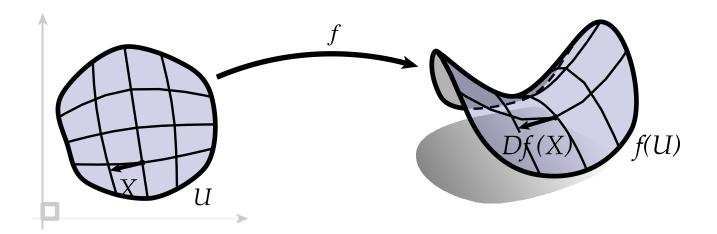
Total differential:  $df = \frac{\partial f}{\partial u}du + \frac{\partial f}{\partial v}dv$ 

If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of  $f_p$  is:

velocity in 3D space

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon D f_p X$$

$$D f_p := \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \in \mathbb{R}^{3 \times 2} \text{ velocity in 2D domain}$$



Intuitively, the *differential* of a parameterized surface tells us how tangent vectors on the domain get mapped to tangent vectors in space:

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon D f_p X$$

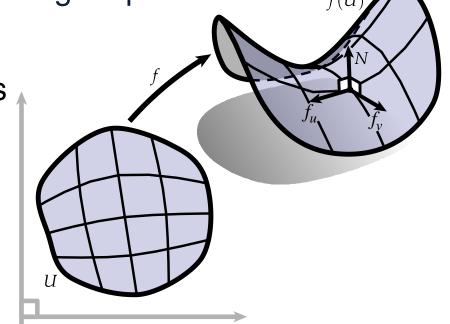
# **Tangent Plane**

$$\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \begin{bmatrix} u \\ v \end{bmatrix}$$

$$\left| \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right| \begin{bmatrix} u \\ v \end{bmatrix}$$
 is a vector in 3D tangent plane

Tangent plane at point f(u, v) is spanned by

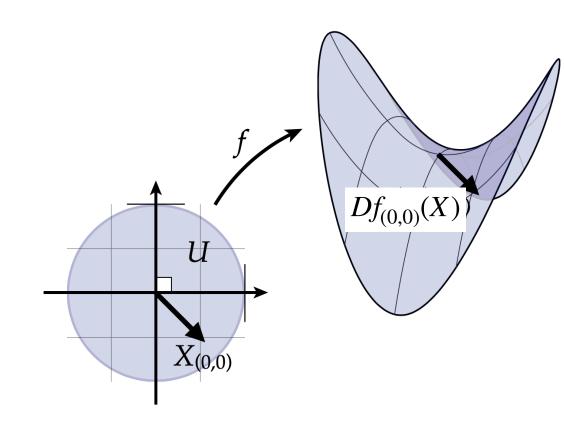
$$f_u = \frac{\partial f}{\partial u}, f_v = \frac{\partial f}{\partial v}$$



These vectors don't have to be orthogonal

$$f(u, v) = [u, v, u^2 - v^2]^T$$

$$Df_p = \begin{bmatrix} \partial f_1/\partial u & \partial f_1/\partial v \\ \partial f_2/\partial u & \partial f_2/\partial v \\ \partial f_3/\partial u & \partial f_3/\partial v \end{bmatrix} =$$



$$f(u,v) = [u,v,u^2 - v^2]^T$$

$$Df_p = \begin{bmatrix} \frac{\partial f_1}{\partial u} & \frac{\partial f_1}{\partial v} \\ \frac{\partial f_2}{\partial u} & \frac{\partial f_2}{\partial v} \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 2u & -2v \end{bmatrix}$$

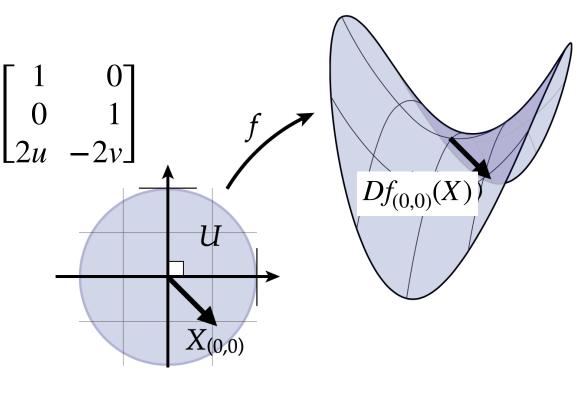
$$Df_{(0,0)}(X)$$

$$f(u, v) = [u, v, u^2 - v^2]^T$$

$$Df_p = \begin{bmatrix} \partial f_1/\partial u & \partial f_1/\partial v \\ \partial f_2/\partial u & \partial f_2/\partial v \\ \partial f_3/\partial u & \partial f_3/\partial v \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 2u & -2v \end{bmatrix}$$

$$X := \frac{3}{4}[1, -1]^T$$

$$Df(X) =$$



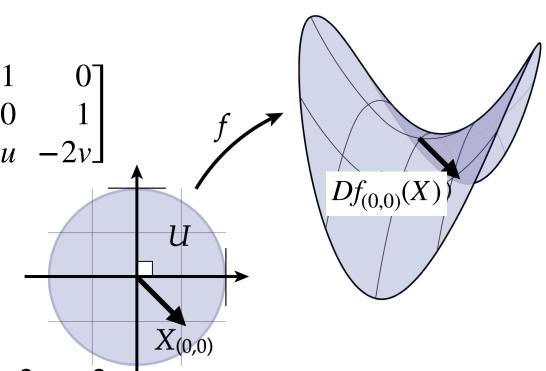
$$f(u, v) = [u, v, u^2 - v^2]^T$$

$$Df_p = \begin{bmatrix} \partial f_1/\partial u & \partial f_1/\partial v \\ \partial f_2/\partial u & \partial f_2/\partial v \\ \partial f_3/\partial u & \partial f_3/\partial v \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 2u & -2v \end{bmatrix}$$

$$X := \frac{3}{4}[1, -1]^T$$

$$Df(X) = \frac{3}{4}[1, -1, 2(u+v)]^{T}$$

e.g., at 
$$u = v = 0$$
:  $Df(X) = \left[\frac{3}{4}, -\frac{3}{4}, 0\right]^T$ 



$$f(u, v) = [u, v, u^2 - v^2]^T$$

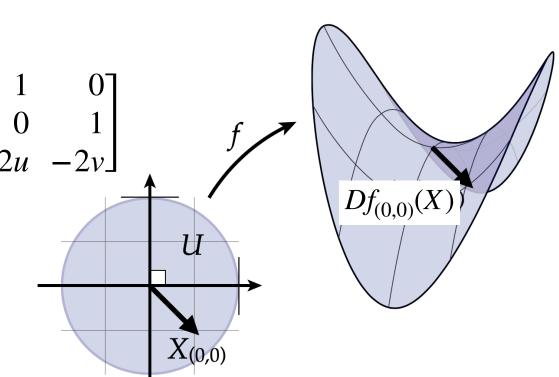
$$Df_p = \begin{bmatrix} \partial f_1/\partial u & \partial f_1/\partial v \\ \partial f_2/\partial u & \partial f_2/\partial v \\ \partial f_3/\partial u & \partial f_3/\partial v \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 2u & -2v \end{bmatrix}$$

$$X := \frac{3}{4}[1, -1]^T$$

$$Df(X) = \frac{3}{4}[1, -1, 2(u+v)]^{T}$$

e.g., at 
$$u = v = 0$$
:  $Df(X) = \left[\frac{3}{4}, -\frac{3}{4}, 0\right]^T$ 

at u = v = 1, tangent space is spanned by



$$f(u, v) = [u, v, u^2 - v^2]^T$$

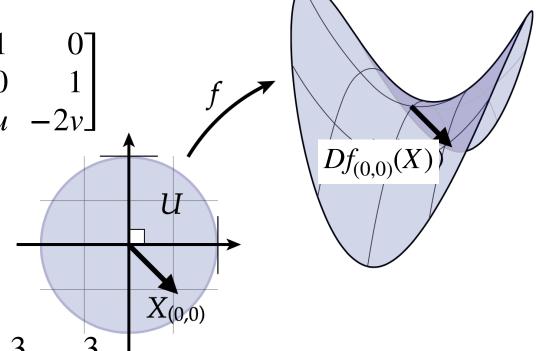
$$Df_p = \begin{bmatrix} \partial f_1/\partial u & \partial f_1/\partial v \\ \partial f_2/\partial u & \partial f_2/\partial v \\ \partial f_3/\partial u & \partial f_3/\partial v \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \\ 2u & -2v \end{bmatrix}$$

$$X := \frac{3}{4}[1, -1]^T$$

$$Df(X) = \frac{3}{4}[1, -1, 2(u+v)]^{T}$$

e.g., at 
$$u = v = 0$$
:  $Df(X) = \left[\frac{3}{4}, -\frac{3}{4}, 0\right]^T$ 

at 
$$u = v = 1$$
, tangent space is spanned by  $\begin{bmatrix} 1 \\ 0 \end{bmatrix}$  and  $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$ .



# **Summary of Differential Map**

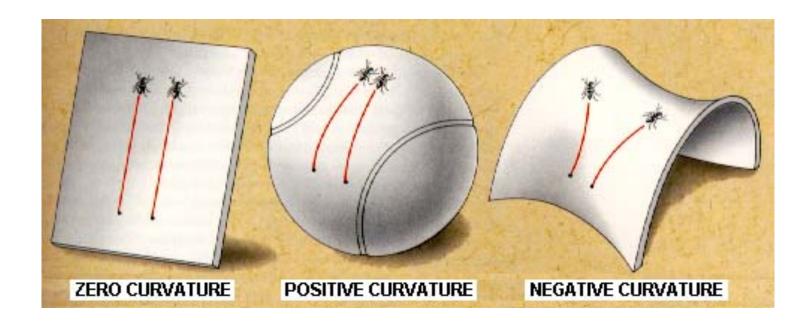
- Tells us the velocity of point in 3D when the parameter changes in 2D
- Maps a vector in the tangent space of the domain to the tangent space of the surface
- Allows us to construct the bases of tangent plane
- Is a linear map

$$Df_p: \mathbf{T}_p(\mathbb{R}^2) \to \mathbf{T}_{f(p)}(\mathbb{R}^3)$$

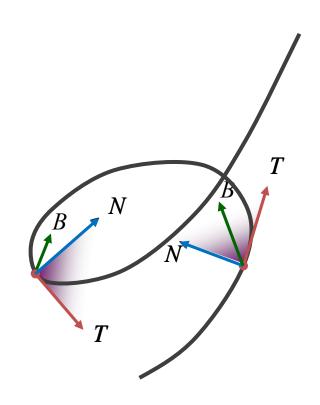
### Curvature

#### Goal

Quantify how a surface **bends**.



# Recal: Curvature of Curves



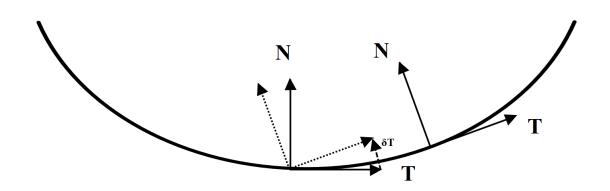
#### Theorem:

Curvature and torsion determine geometry of a curve up to rigid motion.

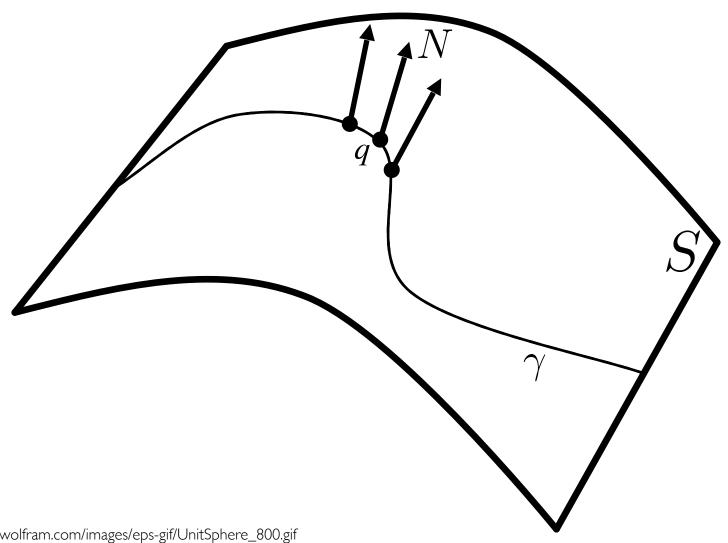


# Can curvature/torsion of a curve help us understand surfaces?

# **Curves: Change of Normal Describes Curve Bending**



# **Surfaces: Change of Normal Describes Surface Bending**

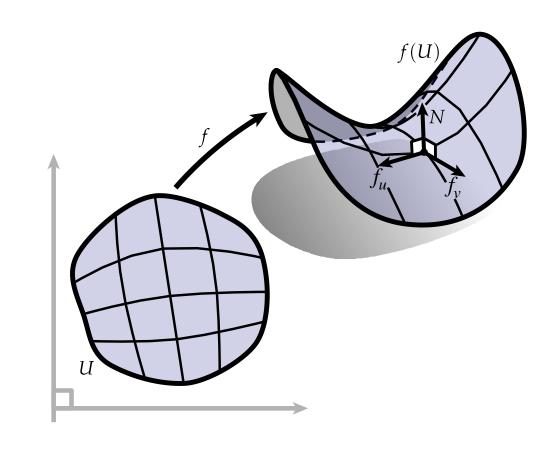


#### **Surface Normals**

$$f_u := \frac{\partial f}{\partial u}, f_v := \frac{\partial f}{\partial v}$$

#### Surface normal:

$$N(u, v) = \frac{f_u \times f_v}{\|f_u \times f_v\|}$$



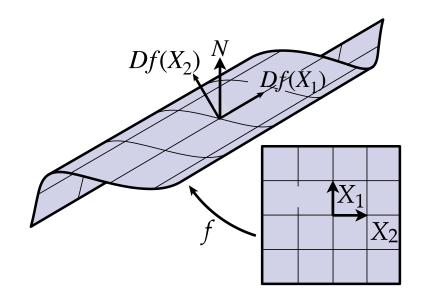
N also as a function of u, v

Consider a nonstandard parameterization of the cylinder (sheared along z):

$$f(u, v) := [\cos(u), \sin(u), u + v]^T$$

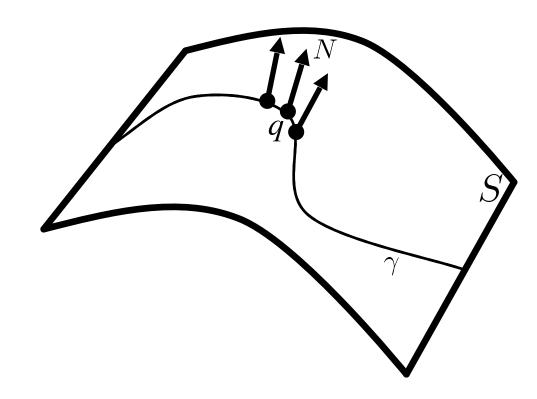
$$Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}$$

$$N = \begin{bmatrix} -\sin(u) \\ \cos(u) \\ 1 \end{bmatrix} \times \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix}$$



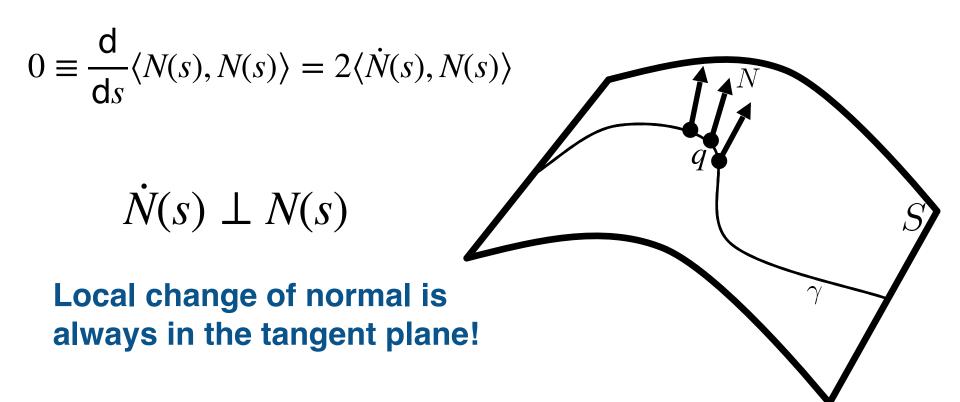
### Measure the Change of Normal

Assume q moves along a curve  $\gamma$  parameterized by arclength:  $q = \gamma(s)$ , and the normal is N(s) with unit norm

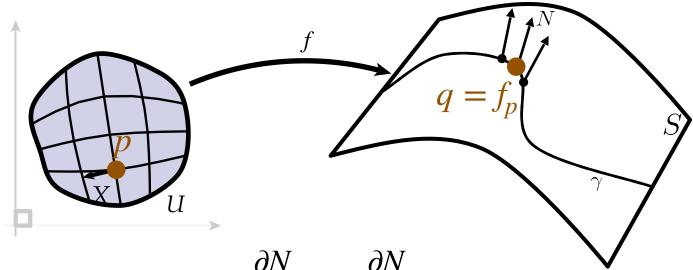


### Measure the Change of Normal

Assume q moves along a curve  $\gamma$  parameterized by arclength:  $q = \gamma(s)$ , and the normal is N(s) with unit norm



#### Differential of Normal

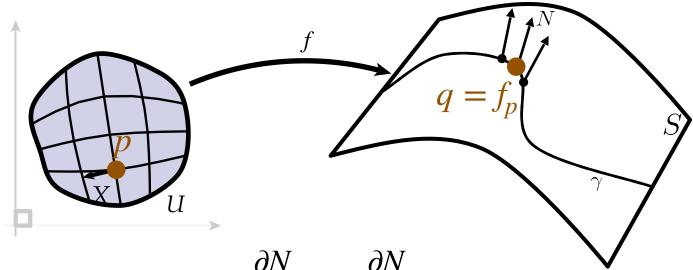


Total differential:  $dN = \frac{\partial N}{\partial u}du + \frac{\partial N}{\partial v}dv$ 

If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the

movement of 
$$N_p$$
 is: 
$$\Delta N_p = \frac{\partial N}{\partial u}(\epsilon u) + \frac{\partial N}{\partial v}(\epsilon v) = \epsilon \left[\frac{\partial N}{\partial u}, \frac{\partial N}{\partial v}\right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon [DN_p]X$$
 
$$DN_p := \left[\frac{\partial N}{\partial u}, \frac{\partial N}{\partial v}\right] \in \mathbb{R}^{3 \times 2}$$

#### **Differential of Normal**



Total differential:  $dN = \frac{\partial N}{\partial u}du + \frac{\partial N}{\partial v}dv$ 

If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of  $N_n$  is:

movement of 
$$N_p$$
 is: 
$$\Delta N_p = \frac{\partial N}{\partial u}(\epsilon u) + \frac{\partial N}{\partial v}(\epsilon v) = \epsilon \left[\frac{\partial N}{\partial u}, \frac{\partial N}{\partial v}\right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon [DN_p]X$$
 
$$DN_p := \left[\frac{\partial N}{\partial u}, \frac{\partial N}{\partial v}\right] \in \mathbb{R}^{3 \times 2}$$

Note:  $[DN_p]X \in \mathbf{T}_p(\mathbb{R}^3)$ 

# Curvature $\overrightarrow{\kappa}$ of $\gamma$ at p

- Recall we need the arc-length parameterization and measure the change of normal
- Recall that tangent vector  $\|\mathbf{T}\| = 1$  under arc-length parameterization. So we need to scale X by  $\mu$  so that:

$$||Df_p[\mu X]|| = 1 \qquad \Longrightarrow \qquad \mu = \frac{1}{||Df_p X||}$$

• As p moves with velocity  $\mu X$ , the tangent is

$$Df_p[\mu X] = \frac{Df_p X}{\|Df_p X\|}$$

the velocity of normal change is:

$$DN_p[\mu X] = \frac{DN_p X}{\|Df_p X\|}$$

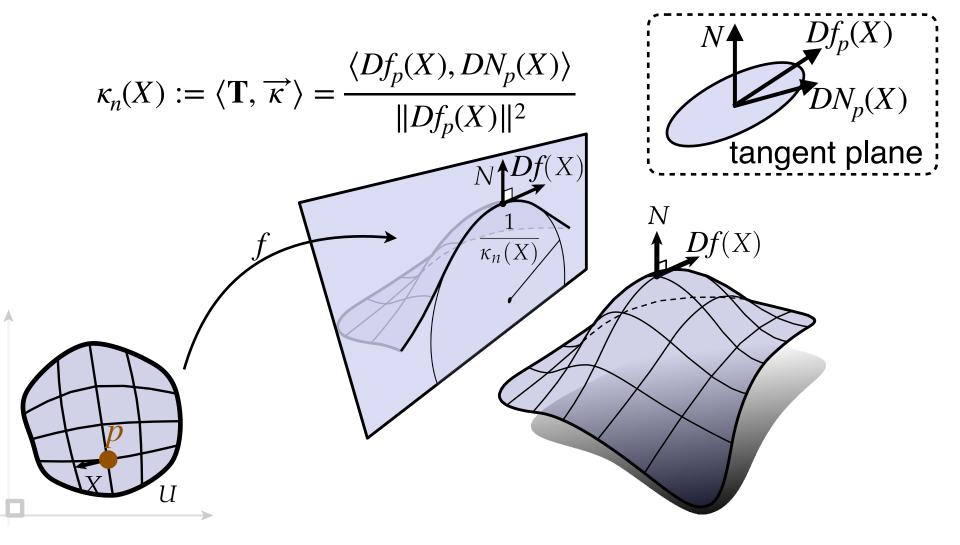
# Curvature $\overrightarrow{\kappa}$ of $\gamma$ at p

The velocity of normal change is:

$$DN_p[\mu X] = \frac{DN_p X}{\|Df_p X\|}$$

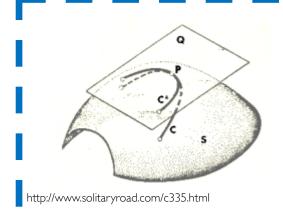
• We denote this quantity as  $\overrightarrow{\kappa}$  in this lecture (note that  $\kappa$  in the last lecture is a scalar, the norm of this vector)

#### **Directional Normal Curvature**



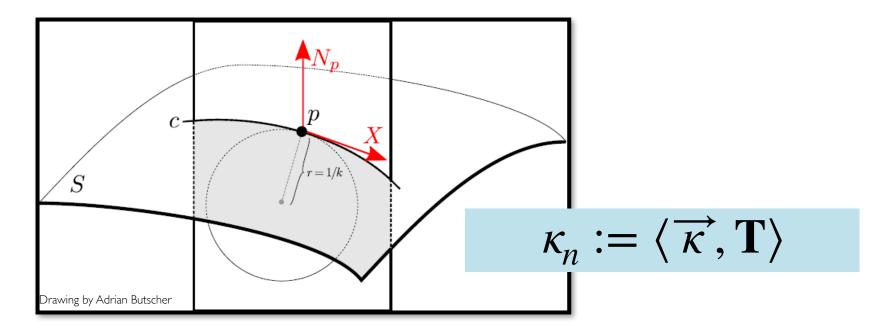
Note:  $\kappa_n$  is not the curvature  $\kappa$  of  $\gamma$ 

#### Relationship to Curvature of Curves



$$\kappa_g := \langle \overrightarrow{\kappa}, \mathbf{N} \times \mathbf{T} \rangle$$

(Geodesic curvature)

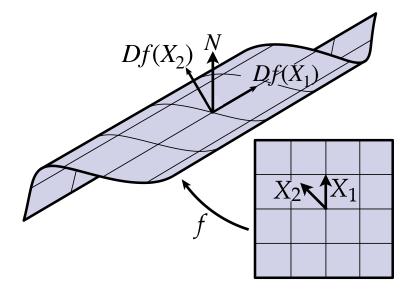


Consider a nonstandard parameterization of the cylinder (sheared along z):

$$f(u, v) := [\cos(u), \sin(u), u + v]^T$$

$$N = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix} \qquad DN =$$

$$f(u,v) := [\cos(u), \sin(u), u+v]^T \qquad Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}$$

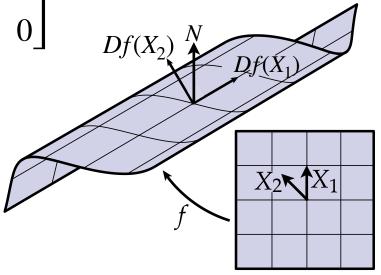


Consider a nonstandard parameterization of the cylinder (sheared along z):

$$f(u, v) := [\cos(u), \sin(u), u + v]^{T} \qquad Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}$$

$$N = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix} \qquad DN = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 0 & 0 \end{bmatrix}$$

$$Df(X_{2}) \stackrel{N}{\longrightarrow} Df(X_{3})$$



Consider a nonstandard parameterization of the cylinder (sheared along z):

$$f(u, v) := [\cos(u), \sin(u), u + v]^T$$
  $Df = \begin{bmatrix} \sin(u) & 0 \\ \cos(u) & 0 \end{bmatrix}$ 

$$f(u, v) := [\cos(u), \sin(u), u + v]^{T} \qquad Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}$$

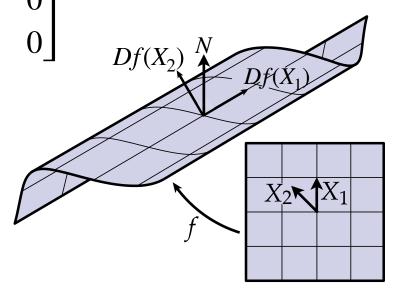
$$N = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix} \qquad DN = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 0 & 0 \end{bmatrix}$$

$$Df(X_{2}) \stackrel{N}{\longrightarrow} Df(X_{3})$$

$$X_1 = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad X_2 = \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

$$\kappa_n(X_1) =$$

$$\kappa_n(X_2) =$$



Consider a nonstandard parameterization of the cylinder (sheared along z):

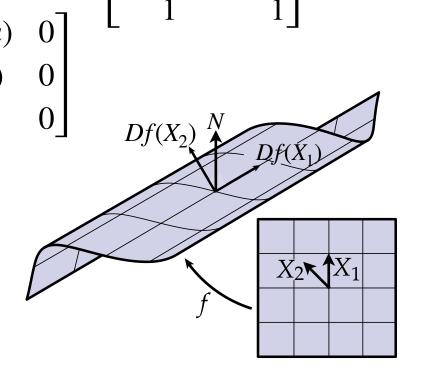
$$f(u, v) := [\cos(u), \sin(u), u + v]^{T} \qquad Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}$$

$$N = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix} \qquad DN = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 0 & 0 \end{bmatrix}$$

$$X_{1} = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \qquad X_{2} = \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

$$\kappa_{n}(X_{1}) = \frac{\langle Df(X_{1}), DN(X_{1}) \rangle}{\|Df(X_{1})\|^{2}} = 0$$

$$\kappa_{n}(X_{2}) = \frac{\langle Df(X_{2}), DN(X_{2}) \rangle}{\|Df(X_{2})\|^{2}} = 1$$



#### **Summary of Curvature**

Curvature quantifies the bending of surfaces

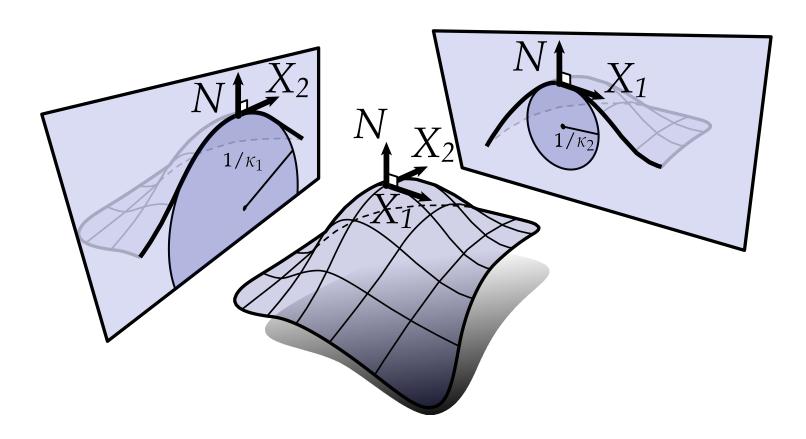
 Local change of normal (differential of normal) is always in the tangent plane

 Directional normal curvature quantifies how fast a surface bends along a direction

## **Principal Curvatures**

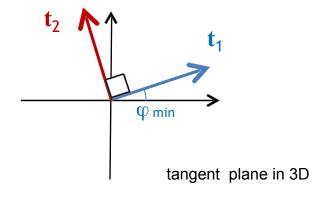
### **Principal Curvatures**

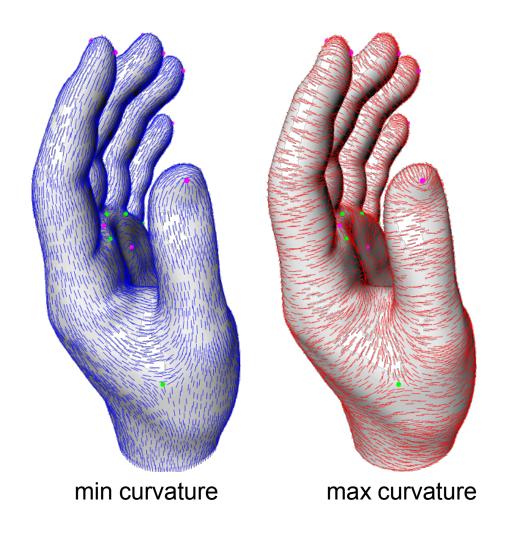
Maximal curvature:  $\kappa_1 = \kappa_{\max} = \max_{\varphi} \kappa_n(\varphi)$ Minimal curvature:  $\kappa_2 = \kappa_{\min} = \min_{\varphi} \kappa_n(\varphi)$ 



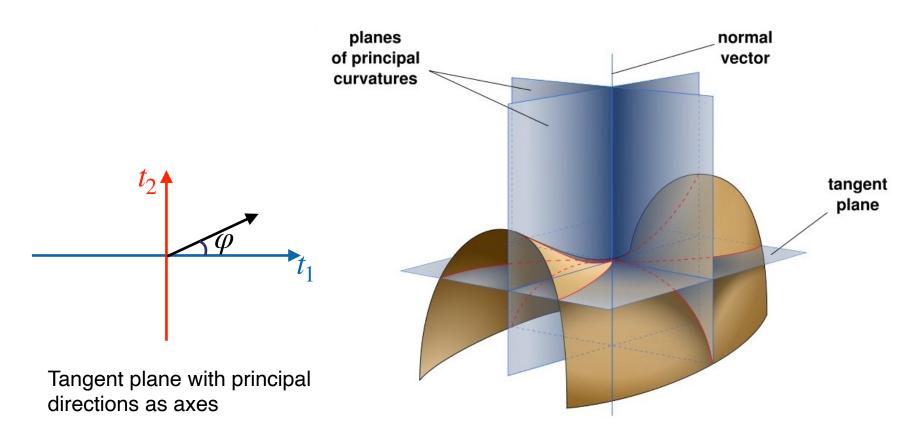
#### **Principal Directions**

Principal directions: tangent vectors corresponding to  $\varphi_{\max}$  and  $\varphi_{\min}$ 





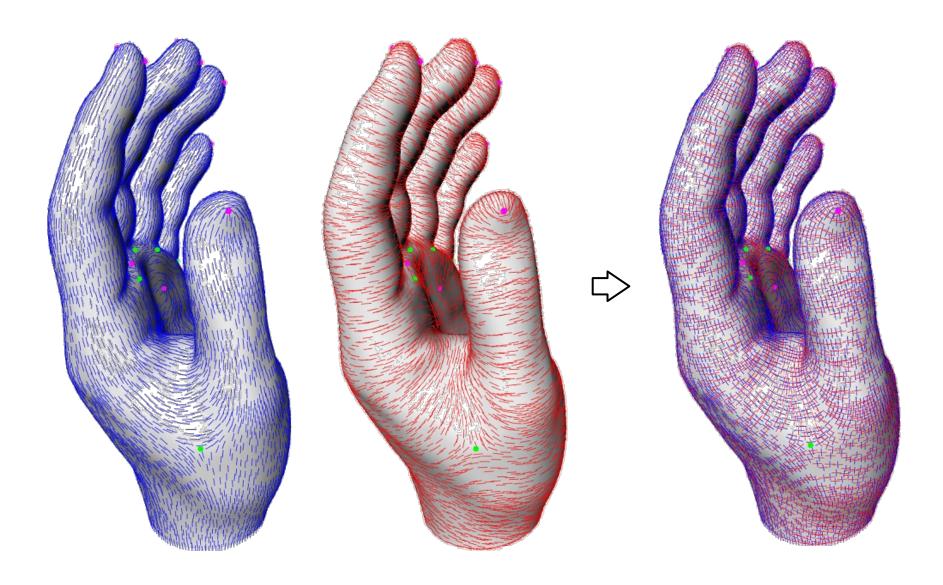
#### **Principal Directions**



**Euler's Theorem:** Planes of principal curvature are **orthogonal** and independent of parameterization.

$$\kappa_n(\varphi) = \kappa_1 \cos^2 \varphi + \kappa_2 \sin^2 \varphi, \qquad \varphi = \text{angle with } t_1$$

# **Principal Directions**



### **Summary of Principal Curvatures**

 The direction that bends fastest / slowest are principal directions, which are orthogonal to each other

The corresponding curvatures are principal curvatures