#### **Office Hour**

• Check Piazza



*Machine Learning meets Geometry*

## **L2: Surfaces**

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### **Our Focus Today: Surface**



### **Agenda**

- Parameterized Surface
- Manifold
- Differential Map
- Curvature
- Principal Curvature



**Lots of (sloppy) math!**

#### **Parameterized Surface**

### **Parametrized Surface**

A **parameterized surface** is a map from a twodimensional region  $U \subset \mathbb{R}^2$  into  $\mathbb{R}^n$ 

 $f: U \to \mathbb{R}^n$ 



The set of points  $f(U)$  is called the **image** of the parameterization.

Image from Wikipedia

• Example: We can express a *saddle as a parameterized* surface*:*

$$
U := \{ (u, v) \in \mathbb{R}^2 : u^2 + v^2 \le 1 \}
$$
  

$$
f(u, v) = [u, v, u^2 - v^2]^T
$$



#### **Application: Bezier Surface, Spline Surface**

• Smoothly "interpolate" between *a set of* points *Pi*



$$
s(u, v) = \sum_{i=0}^{m} \sum_{j=0}^{n} \mathbf{p}_{i,j} B_i^m(u) B_j^n(v)
$$

#### **Application: Bezier Surface, Spline Surface**

#### Widely used in design industry (e.g., car modeling)

#### Polygon model

NURBS model



Poor surface quality

Pure, smooth highlights

### **(Differentiable) Manifold**

### **Smoothness as a Local Property**

• Things that can be discovered by local observation: point + neighborhood



### **Local Smoothness**

• Things that can be discovered by local observation: point + neighborhood



#### **Local to Global**

• Things that can be discovered by local observation: point + neighborhood



**Tangents, normals, curvatures, curve angles, distances**

### **Tangent Plane**

- $\bullet$  One can attach to every point  $p$  a tangent plane  $\mathbf{T}_p$
- Intuitively, it contains the possible directions in which one can tangentially pass through  $p$ .



#### **Differential Map**

• Relate the movement of point in the domain and on the surface





Total differential: *df* = ∂*f* ∂*u du* + ∂*f* ∂*v*  $d$ ν $\Longrightarrow$  $\Delta f$   $\approx$ If point  $p \in \mathbb{R}^2$  moves along vector  $X = [u, v]^T$  by  $\epsilon$ , the movement of $f_{\!p}$  is: ∂*f* ∂*u*  $\Delta u +$ ∂*f* ∂*v*  $\Delta v$ 

$$
\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix}
$$



Total differential: *df* = ∂*f* ∂*u du* + ∂*f* ∂*v dv* If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of $f_{\!p}$  is:

$$
\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \begin{bmatrix} u \\ v \end{bmatrix}
$$
  

$$
Df_p := \left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \in \mathbb{R}^{3 \times 2}
$$



Total differential: *df* = ∂*f* ∂*u du* + ∂*f* ∂*v dv* If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of $f_{\!p}$  is:

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\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon [Df_p]X
$$
  

$$
Df_p := \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \in \mathbb{R}^{3 \times 2} \quad Df_p: \text{differential (Jacobian)}
$$
  
a linear map.



Total differential: *df* = ∂*f* ∂*u du* + ∂*f* ∂*v dv* If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of $f_{\!p}$  is:

$$
\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon [Df_p]\overline{X}
$$
  

$$
Df_p := \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \in \mathbb{R}^{3 \times 2} \text{ velocity in 2D domain}
$$



*df* = ∂*f* ∂*u du* + ∂*f* ∂*v* Total differential:  $df = \frac{dy}{dx}du + \frac{dy}{dx}dv$ If point  $p \in \mathbb{R}^2$  moves with velocity  $X = [u, v]^T$  by  $\epsilon$ , the movement of $f_{\!p}$  is: ∂*f* ∂*f* velocity in 3D space

$$
\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon \boxed{D f_p \boxed{X}}
$$
  

$$
D f_p := \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \in \mathbb{R}^{3 \times 2} \text{ velocity in 2D domain}
$$



ial *u* + ∂*v* Total differential: *v als us how tangent vectors on the domain get mapped* to tangent vectors in space: Intuitively, the *differential* of a parameterized surface

$$
\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix} = \epsilon \boxed{Df_p \boxed{X}}
$$

### **Tangent Plane**

$$
\Delta f_p \approx \frac{\partial f}{\partial u}(\epsilon u) + \frac{\partial f}{\partial v}(\epsilon v) = \epsilon \left[ \frac{\partial f}{\partial u}, \frac{\partial f}{\partial v} \right] \begin{bmatrix} u \\ v \end{bmatrix}
$$

*f*

 $\mu$  *f* 

 $f(U)$ 

*v*

$$
\left[\frac{\partial f}{\partial u}, \frac{\partial f}{\partial v}\right] \begin{bmatrix} u \\ v \end{bmatrix}
$$
 is a vector in 3D tangent plane

Tangent plane at point  $f(u, v)$  is spanned by

$$
f_u = \frac{\partial f}{\partial u}, \, f_v = \frac{\partial f}{\partial v}
$$

These vectors don't have to be orthogonal

$$
f(u, v) = [u, v, u2 - v2]T
$$
  

$$
Df_p = \begin{bmatrix} \frac{\partial f_1}{\partial u} & \frac{\partial f_1}{\partial v} \\ \frac{\partial f_2}{\partial u} & \frac{\partial f_2}{\partial v} \end{bmatrix} =
$$

$$
F = \begin{bmatrix} \frac{\partial f_1}{\partial u} & \frac{\partial f_2}{\partial v} \\ \frac{\partial f_3}{\partial u} & \frac{\partial f_3}{\partial v} \end{bmatrix}
$$











at  $u = v = 1$ , tangent space is spanned by



### **Summary of Differential Map**

- Tells us the velocity of point in 3D when the parameter changes in 2D
- Maps a vector in the tangent space of the domain to the tangent space of the surface
- Allows us to construct the bases of tangent plane
- Is a linear map

$$
Df_p: \mathbf{T}_p(\mathbb{R}^2) \to \mathbf{T}_{f(p)}(\mathbb{R}^3)
$$

#### **Curvature**

### **Goal**

#### Quantify how a surface **bends**.



#### Recall: **Curvature of Curves The Binormal Vector** For points *s*, s.t. κ(*s*) ≠ 0, the



#### *Theorem:*

Curvature and torsion determine geometry of a curve up to rigid motion.



# Can curvature/torsion of a curve help us understand surfaces?

### **Curves: Change of Normal Describes Curve Bending**



### **Surfaces: Change of Normal Describes Surface Bending**



http://mathworld.wolfram.com/images/eps-gif/UnitSphere\_800.gif

### **Surface Normals**



*N* also as a function of *u*, *v*

Consider a nonstandard parameterization of the cylinder (sheared along *z*):

$$
f(u, v) := [\cos(u), \sin(u), u + v]^T
$$
  
\n
$$
Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}
$$
  
\n
$$
N = \begin{bmatrix} -\sin(u) \\ \cos(u) \\ 1 \end{bmatrix} \times \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix}
$$

### **Measure the Change of Normal**

Assume  $q$  moves along a curve  $\gamma$  parameterized by arc- $\mathsf{length}\colon q=\gamma(s),$  and the normal is  $N(s)$  with unit norm



### **Measure the Change of Normal**

Assume  $q$  moves along a curve  $\gamma$  parameterized by arc- $\mathsf{length}\colon q=\gamma(s),$  and the normal is  $N(s)$  with unit norm

$$
0 \equiv \frac{d}{ds} \langle N(s), N(s) \rangle = 2 \langle N(s), N(s) \rangle
$$
  
\n
$$
\dot{N}(s) \perp N(s)
$$
  
\nLocal change of normal is always in the tangent plane!

http://mathworld.wolfram.com/images/eps-gif/UnitSphere\_800.gif





# **Curvature** *κ* **of** ⃗ *γ* **at** *p*

- Recall we need the arc-length parameterization and measure the change of normal
- Recall that tangent vector  $||T|| = 1$  under arc-length parameterization. So we need to scale  $X$  by  $\mu$  so that:

$$
||Df_p[\mu X]|| = 1 \quad \Longrightarrow \quad \mu = \frac{1}{||Df_p X||}
$$

• As  $p$  moves with velocity  $\mu X$ , the tangent is

$$
Df_p[\mu X] = \frac{Df_p X}{\|Df_p X\|}
$$

• the velocity of normal change is:

$$
DN_p[\mu X] = \frac{DN_pX}{\|Df_pX\|}
$$



• The velocity of normal change is:  $DN_p[\mu X] =$  $D\hspace{-.5mm}N_pX$ ∥*DfpX*∥

• We denote this quantity as  $\overrightarrow{k}$  in this lecture (note that  $\kappa$  in the last lecture is a scalar, the norm of this vector)

### **Directional Normal Curvature**



Note:  $\kappa_n$  is not the curvature  $\kappa$  of  $\gamma$ 

#### **Relationship to Curvature of Curves**



Consider a nonstandard parameterization of the cylinder (sheared along *z*): −sin(*u*) 0

$$
f(u, v) := [\cos(u), \sin(u), u + v]^T
$$
  

$$
Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 1 & 1 \end{bmatrix}
$$
  

$$
N = \begin{bmatrix} \cos(u) \\ \sin(u) \\ 0 \end{bmatrix}
$$
  

$$
DN = \begin{bmatrix} Df(x_1) \\ Df(x_2) \\ Df(x_1) \end{bmatrix}
$$

 $X_2 \setminus X_1$ 

Consider a nonstandard parameterization of the cylinder (sheared along *z*): −sin(*u*) 0

$$
f(u, v) := [\cos(u), \sin(u), u + v]^T
$$
  
\n
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Df = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 1 \end{bmatrix}
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\n
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$$
  
\n
$$
DN = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 0 & 0 \end{bmatrix}
$$
  
\n
$$
Df(X_2)
$$
  
\n
$$
Df(X_1)
$$
  
\n
$$
Df(X_2)
$$

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$$
  
\n
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$$
  
\n
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Df(X_1)
$$
  
\n
$$
N = \begin{bmatrix} 0 \\ 1 \end{bmatrix}
$$
  
\n
$$
X_1 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}
$$
  
\n
$$
X_2 = \begin{bmatrix} -1 \\ 1 \end{bmatrix}
$$
  
\n
$$
K_n(X_1) = \begin{bmatrix} -\sin(u) & 0 \\ 0 & 0 \end{bmatrix}
$$
  
\n
$$
K_n(X_2) = \begin{bmatrix} -\cos(u) & 0 \\ 0 & 0 \end{bmatrix}
$$

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\n
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$$
  
\n
$$
DN = \begin{bmatrix} -\sin(u) & 0 \\ \cos(u) & 0 \\ 0 & 0 \end{bmatrix}
$$
  
\n
$$
Df(X_2)
$$
  
\n
$$
N_f(X_2)
$$
  
\n
$$
N_f(X_1) = \frac{\langle Df(X_1), DN(X_1) \rangle}{||Df(X_1)||^2} = 0
$$
  
\n
$$
\kappa_n(X_2) = \frac{\langle Df(X_2), DN(X_2) \rangle}{||Df(X_2)||^2} = 1
$$

### **Summary of Curvature**

• Curvature quantifies the bending of surfaces

• Local change of normal (differential of normal) is always in the tangent plane

• Directional normal curvature quantifies how fast a surface bends along a direction

#### **Principal Curvatures**

### **Principal Curvatures**

Maximal curvature:  $\kappa_1 = \kappa_{\text{max}} = \text{max} \ \ \kappa_n(\varphi)$ Minimal curvature: *φ*  $\kappa_2 = \kappa_{\min} = \min \; \kappa_n(\varphi)$ *φ*



### **Principal Directions**

Principal directions: tangent vectors corresponding to  $\varphi_{\text{max}}$  and  $\varphi_{\text{min}}$ 





### **Principal Directions**



**Euler's Theorem:** Planes of principal curvature are **orthogonal** and independent of parameterization.

$$
\kappa_n(\varphi) = \kappa_1 \cos^2 \varphi + \kappa_2 \sin^2 \varphi, \qquad \varphi = \text{angle with } t_1
$$

### **Principal Directions**



### **Summary of Principal Curvatures**

• The direction that bends fastest / slowest are principal directions, which are orthogonal to each other

• The corresponding curvatures are principal curvatures