

# **L10: 3D Instance Segmentation**

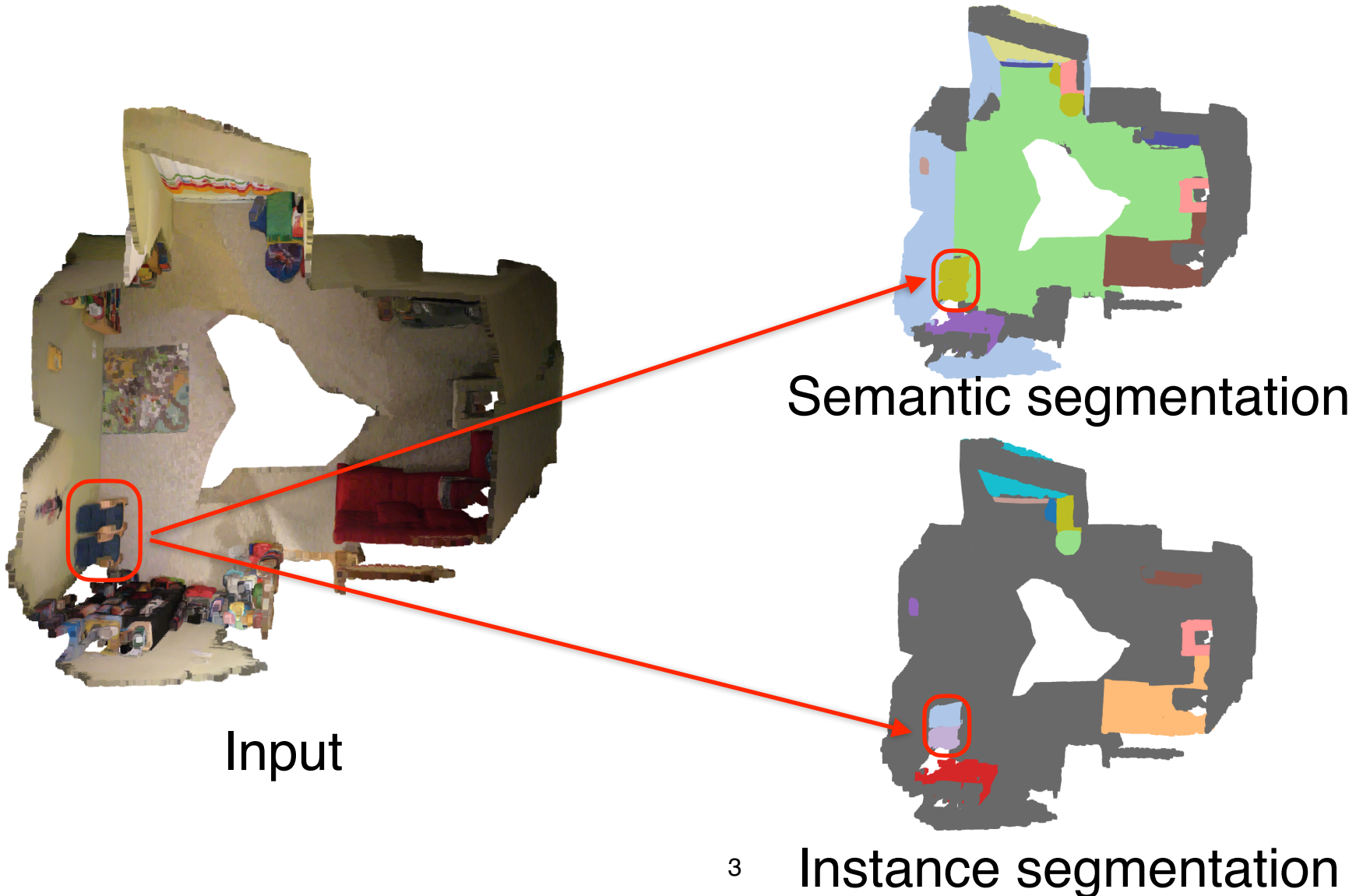
Hao Su

Ack: Jiayuan Gu and Zhan Ling for helping to prepare slides

# Agenda

- Introduction
- Metric
- Top-down approaches
- Bottom-up approaches

# Semantic Segmentation v.s. Instance Segmentation

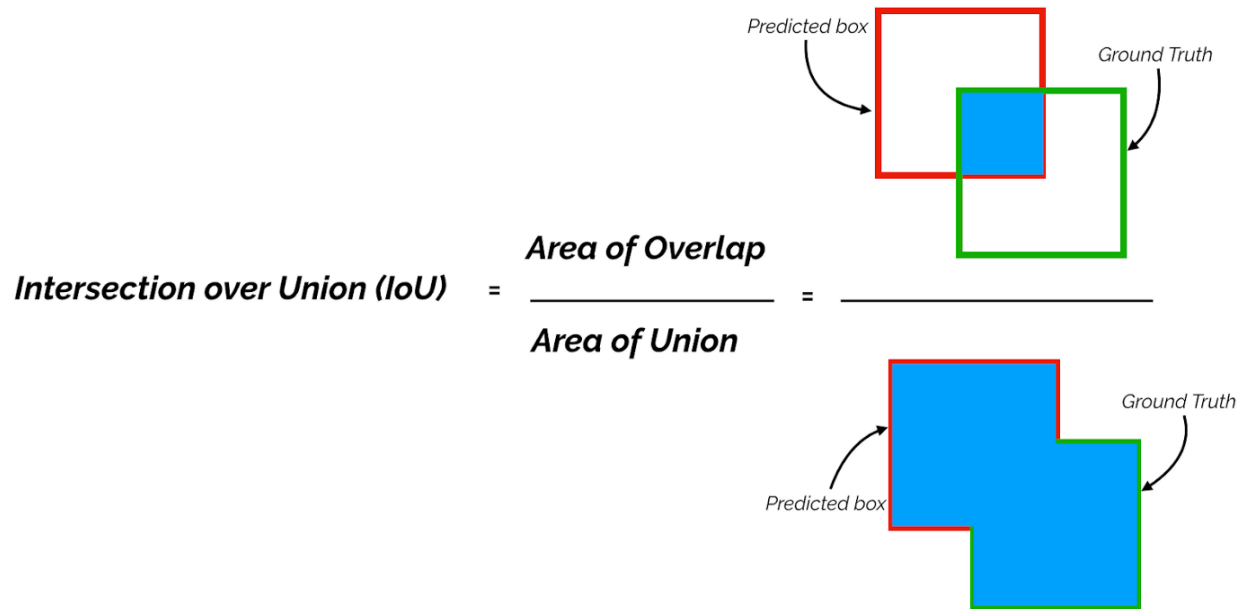


# Goal of Instance Segmentation

- Find as **many** objects as possible from the scene.
- Segmentation results should be as **accurate** as possible.

# Intersection-over-Union (IoU)

- For two sets  $A$  and  $B$ ,  $IoU(A, B) = \frac{|A \cap B|}{|A \cup B|}$ .



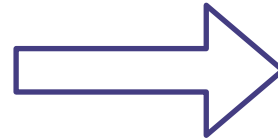
# Intersection-over-Union (IoU)

- Can also be used for measuring segmentation



0.50	0.90	1.16
0.34	4.38	2.23
5.96	3.48	1.38
2.51	6.78	0.92
1.50	6.95	1.84
0.37	1.49	1.22
3.13	6.50	0.90
3.09	5.85	1.13
4.35	2.10	1.26
5.29	5.06	1.11
...	...	...

Point cloud



Chair1
Chair1
Chair2
Bed1
Picture1
Picture2
Chair3
Curtain1
Chair4
Bed2
...

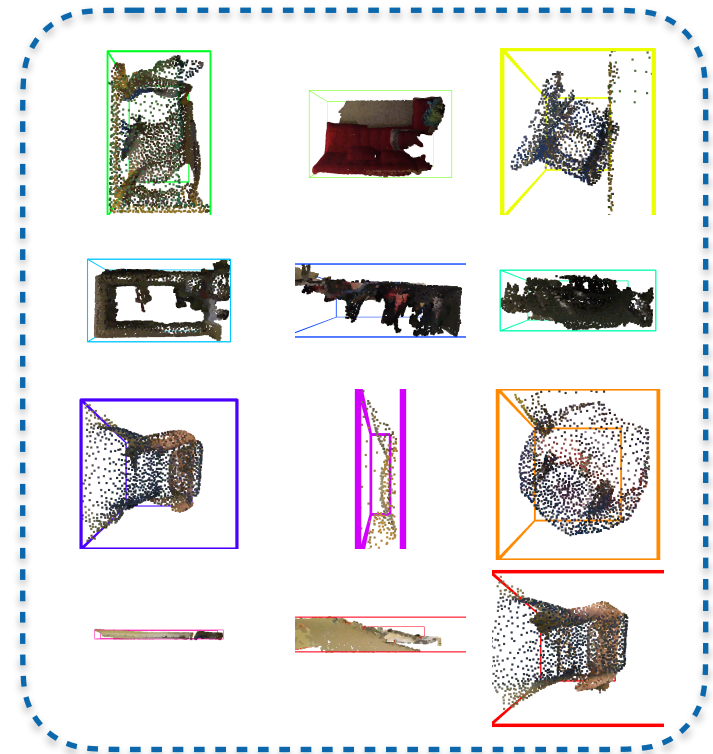
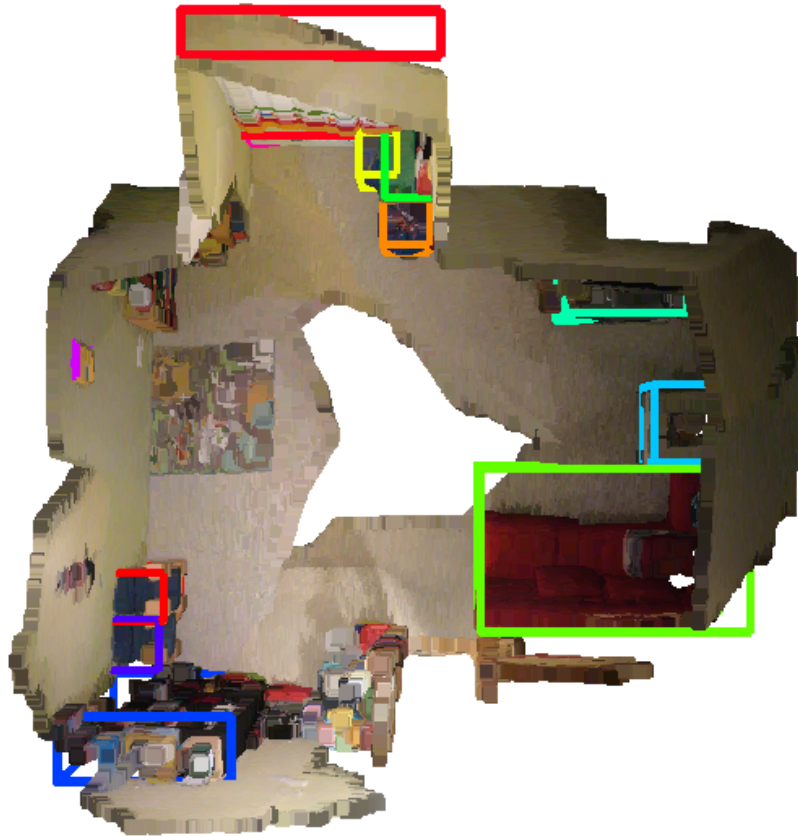
Instance label



# **Top-down Approaches:** Proposal Generation & Point Association

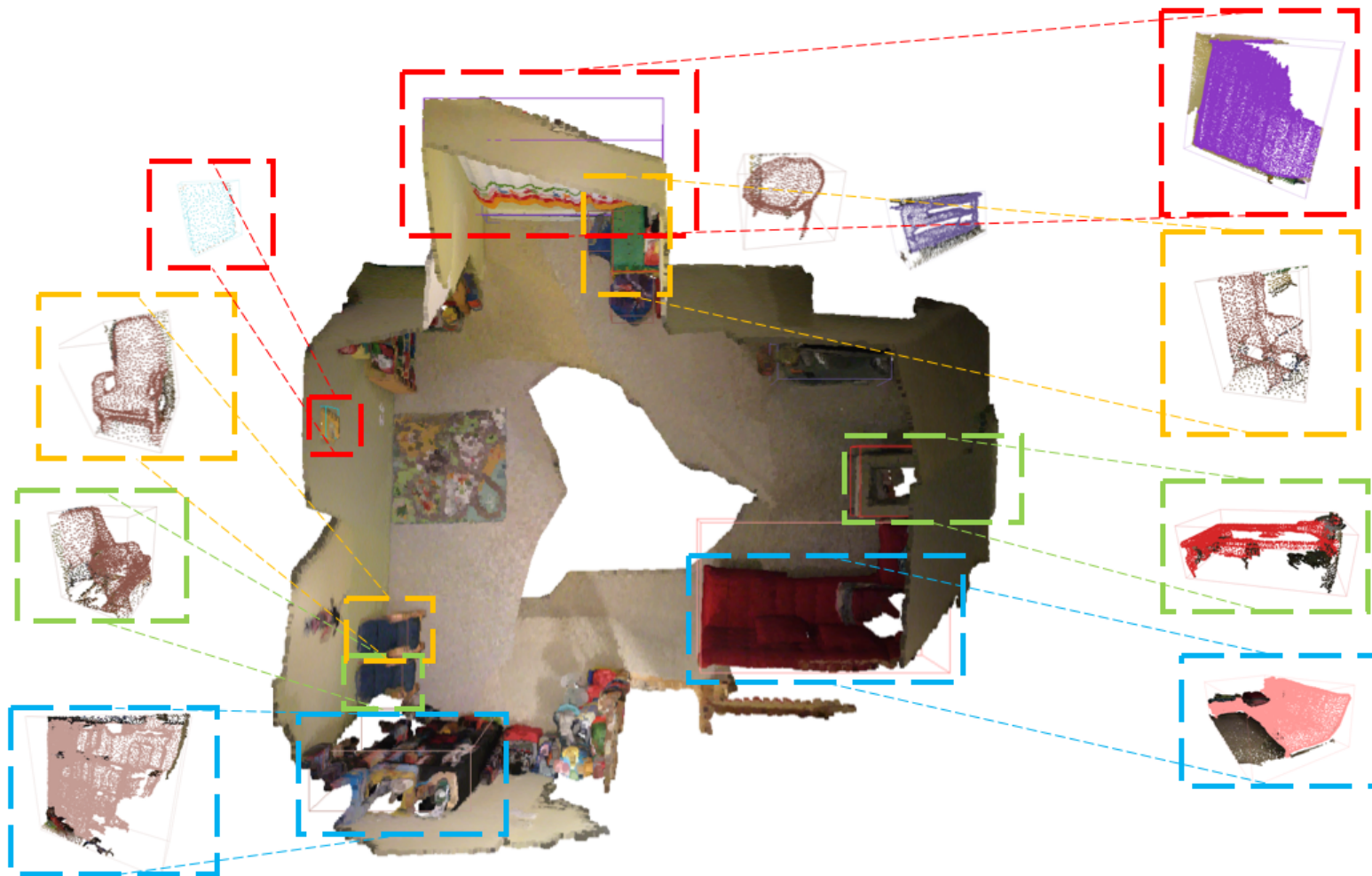
# First Step: Generate Proposals (e.g., Bounding Boxes)

Proposals





# Second Step: Associate Points with Proposals



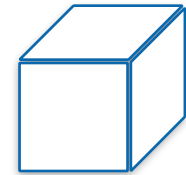
# Two Key Questions:

- How to generate (instance) proposals?
- How to associate points with proposals?

**Details of Step 1:  
How to generate proposals?**

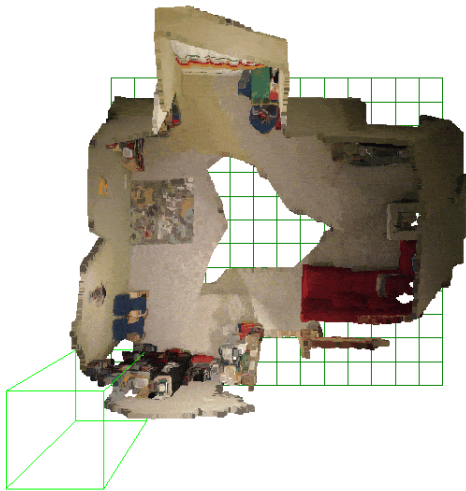
# First of all, what is a good proposal representation?

- Easy to parameterize and predict
- Easy to classify whether a point belongs to it
- Parameterization:
  - Primitive type
  - Parameters (position, rotation, ...)
- Common choices: 3D bounding box, spheres

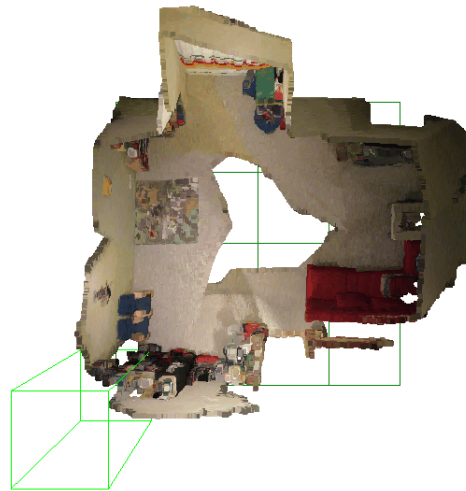


# Proposal Generation: Non-Learning

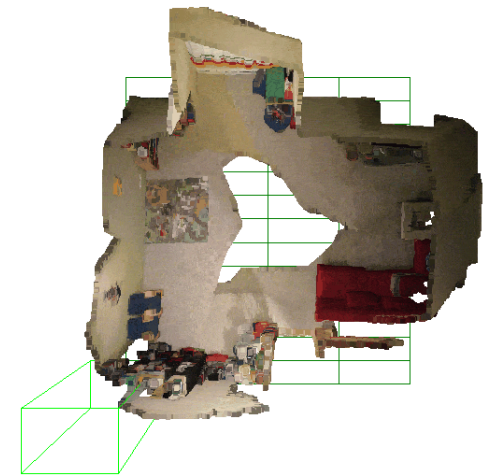
- **Sliding window:** The straightforward, heuristic method to generate proposals without learning
- Slide a (template) window over the input point cloud



stride=(0.5, 0.5)  
size=(1.5, 1.5)



stride=(1.5, 1.5)  
size=(1.5, 1.5)



stride=(1.5, 0.5)  
size=(1.5, 1.0)

# Proposal Generation: Learning-based

- To have a high recall, we need to densely slide a window
- However, too heavy burden for the association step

# Examples of Learning-based Proposal Generation

- Last time:
  - 2D detection-based proposal (Frustum PointNet)
  - X-ray proposal (PointPillar)
  - Voting-based proposal (VoteNet)
- This time:
  - Bounding box prediction proposal (3D-BoNet)
  - Shape generation proposal (GSPN)

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# 3D-BoNet Pipeline

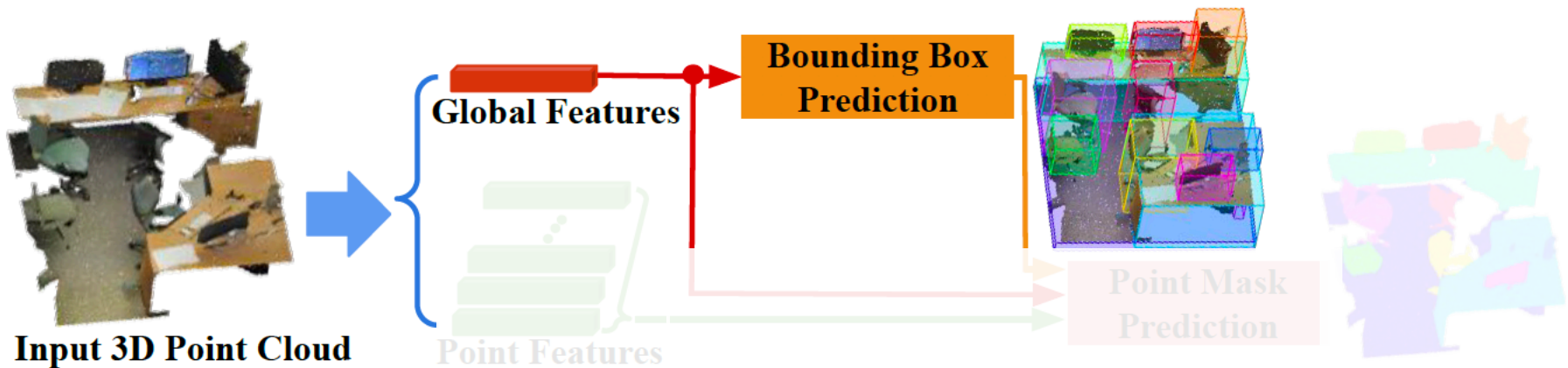


Figure 1: The 3D-BoNet framework for instance segmentation on 3D point clouds.

# 3D-BoNet Pipeline

“set prediction” task

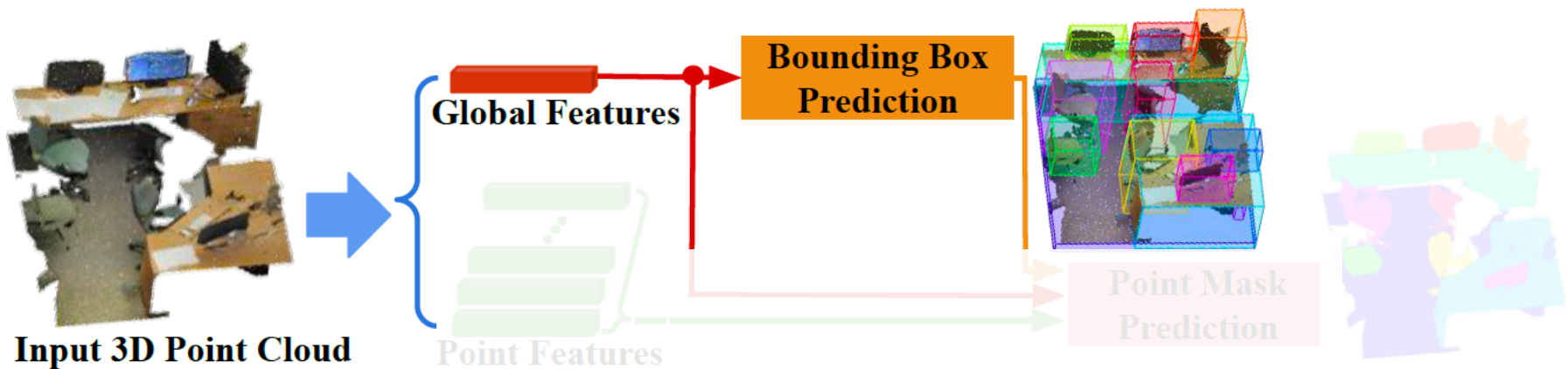
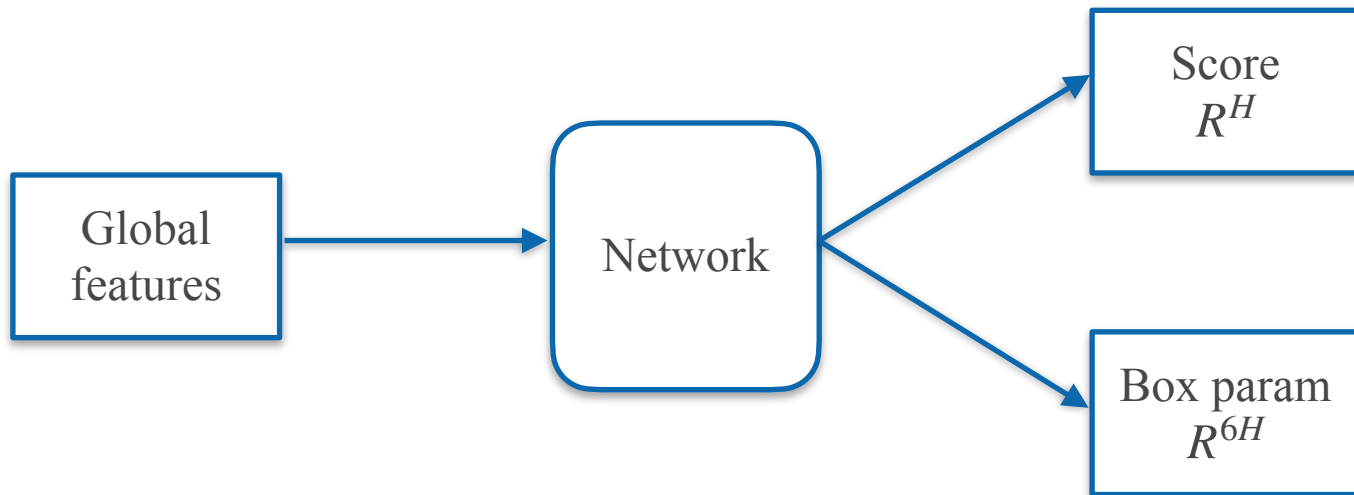


Figure 1: The 3D-BoNet framework for instance segmentation on 3D point clouds.

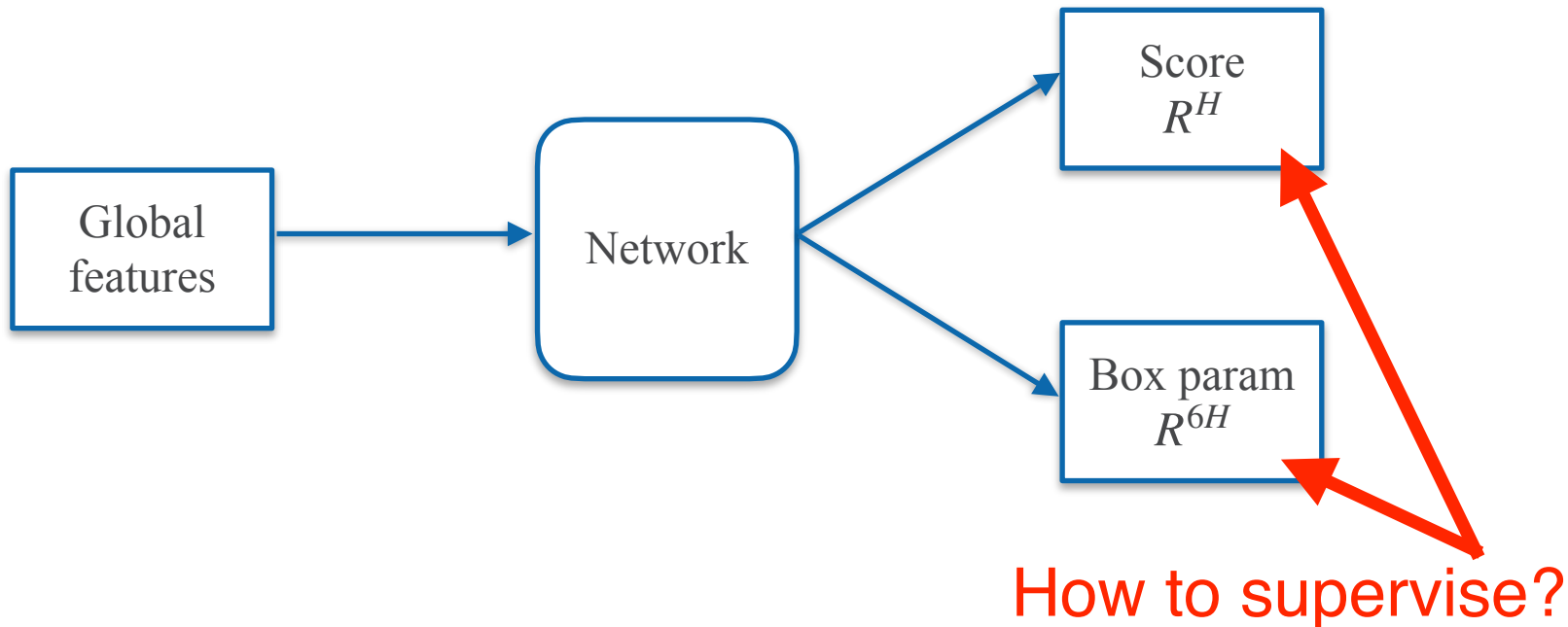
# Bounding Box Prediction

- Bounding box parameterization:  
 $\{x_{min}, y_{min}, z_{min}, x_{max}, y_{max}, z_{max}\}$
- Regress a predefined, fixed number ( $H$ ) of bounding boxes



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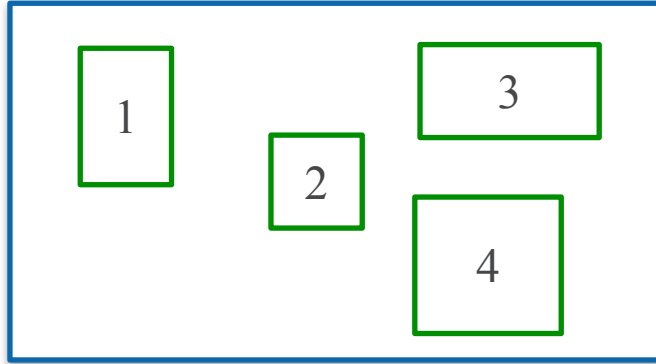


# Loss: Bounding Box Association

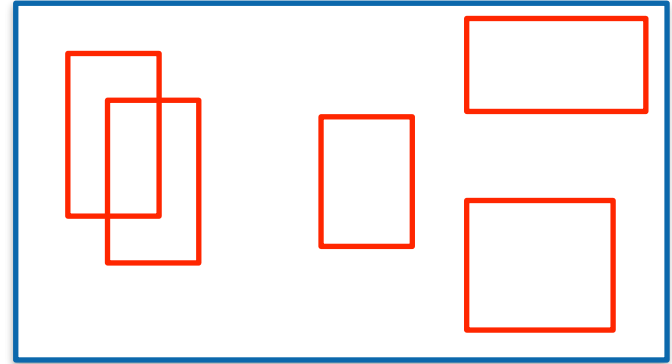
How to know the GT on-the-fly?

Find a match between the GT and predicted boxes

# Optimal Association (2D case)

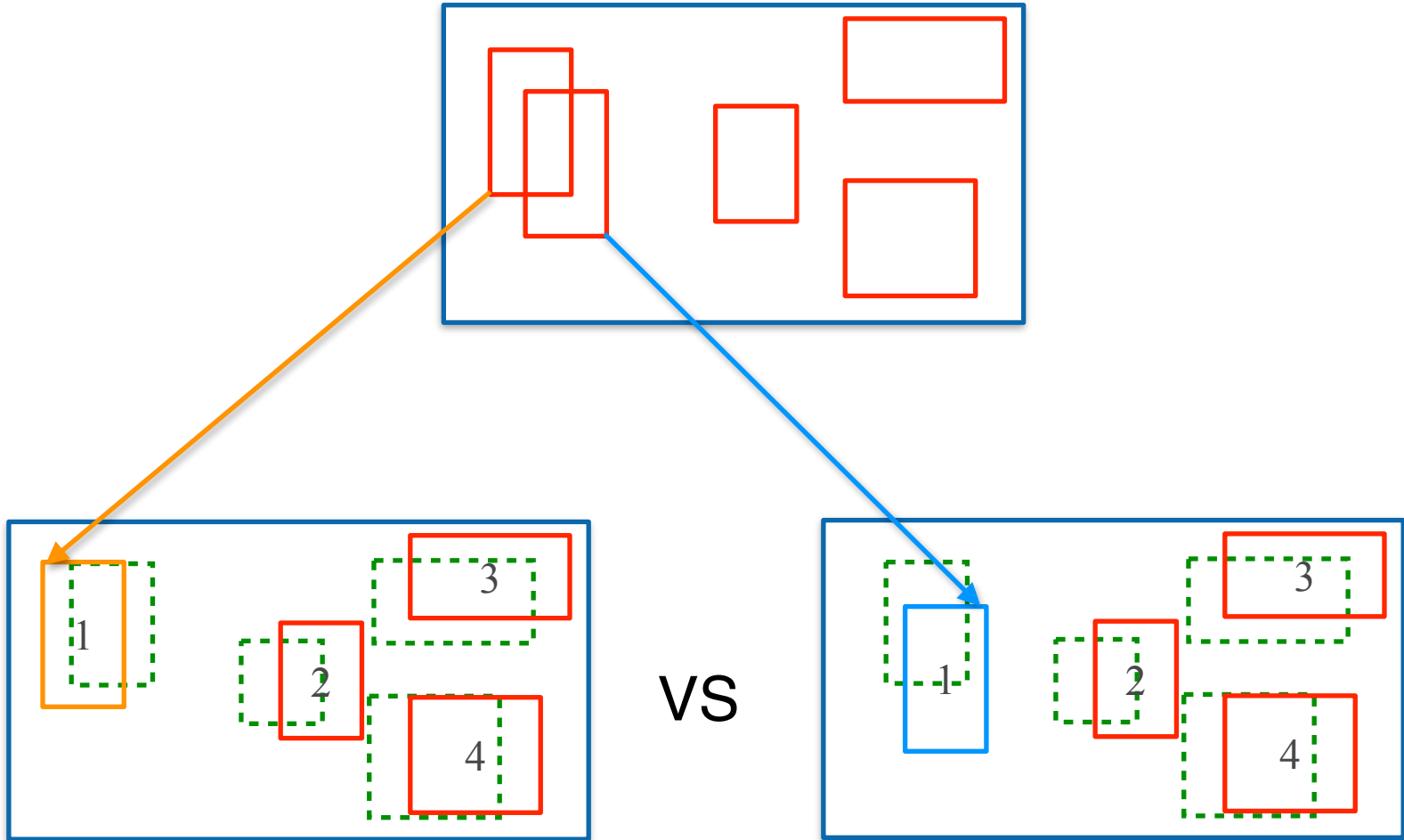


GT boxes



Prediction

# Optimal Association (2D case)

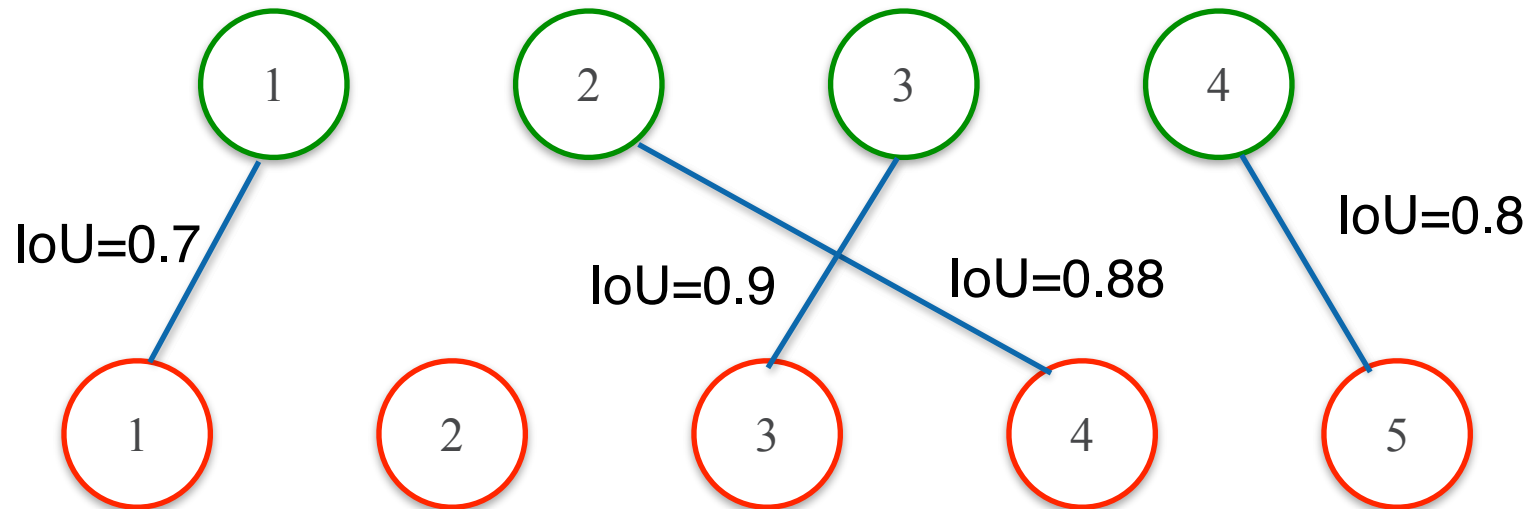


Matching 1

Matching 2

# Optimal Association

- Objective: maximize the overall match gain
- Hungarian algorithm can solve this problem (similar to EMD)



The overall gain is  $0.7 + 0.9 + 0.88 + 0.8$

- Gain  $\Rightarrow$  cost, maximize  $\Rightarrow$  minimize



# Association Cost

- The cost (weight of bipartite graph) should evaluate the similarity between the predicted box and GT box (e.g.,  $L_2$  over b.box vertices offset)

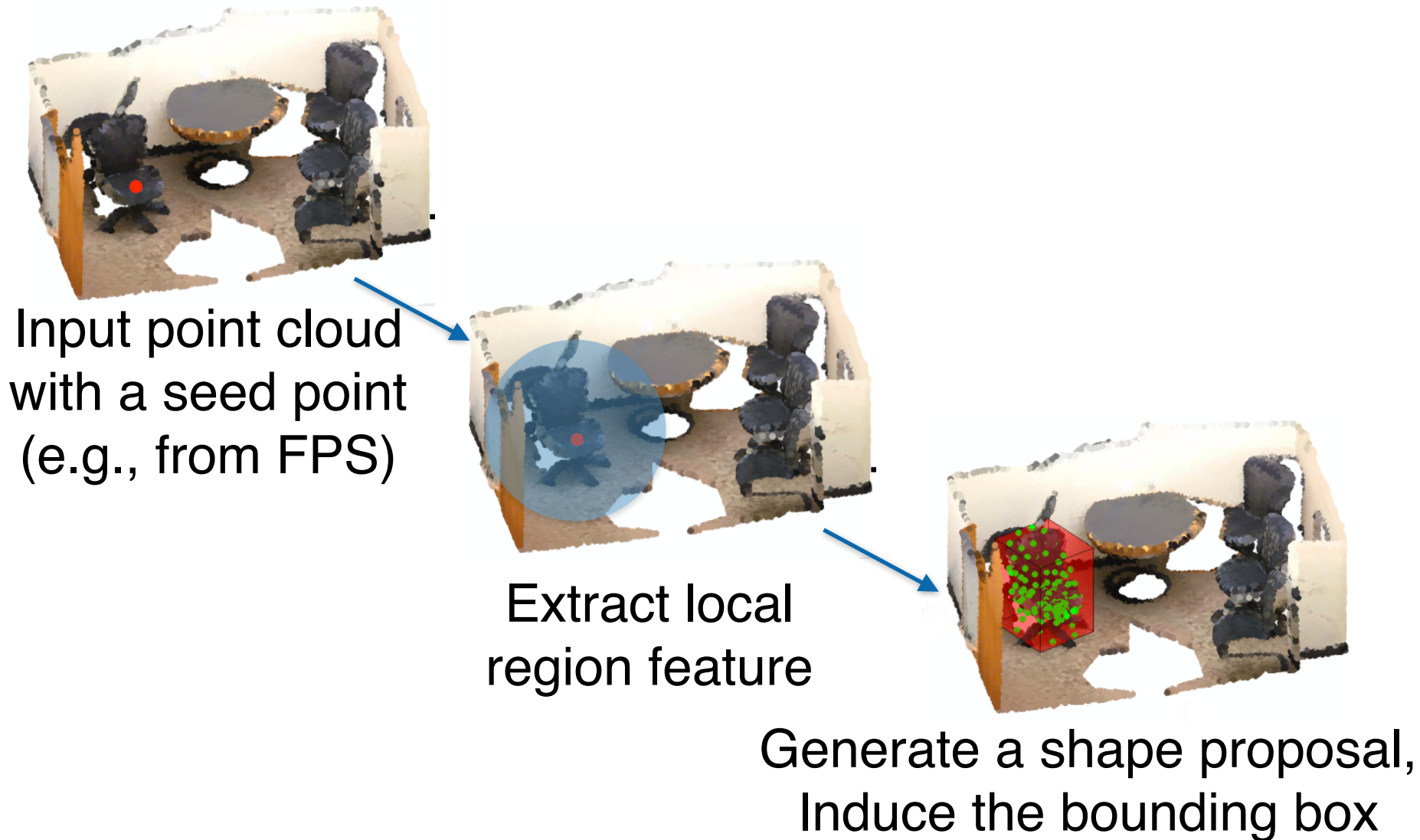
$$C_{i,j}^{ed} = \frac{1}{6} \sum (B_i - \bar{B}_j)^2$$

- Other criteria
  - Soft IoU
  - Cross-Entropy score
- The cost can be used as the loss directly

# Examples of Learning-based Proposal Generation

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# GSPN Pipeline



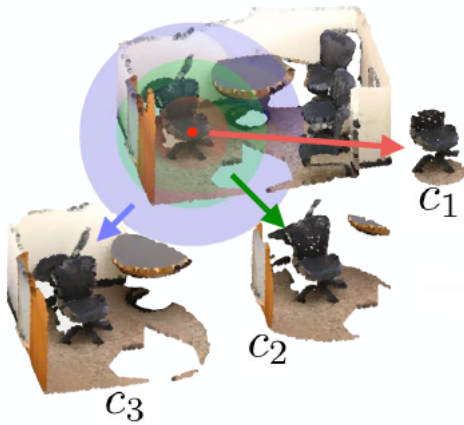
# Point Cloud as Object Proposal

- Unlike primitive-based proposals, it is possible to **generate a point cloud** as a proposal (recall the single image to point cloud work)



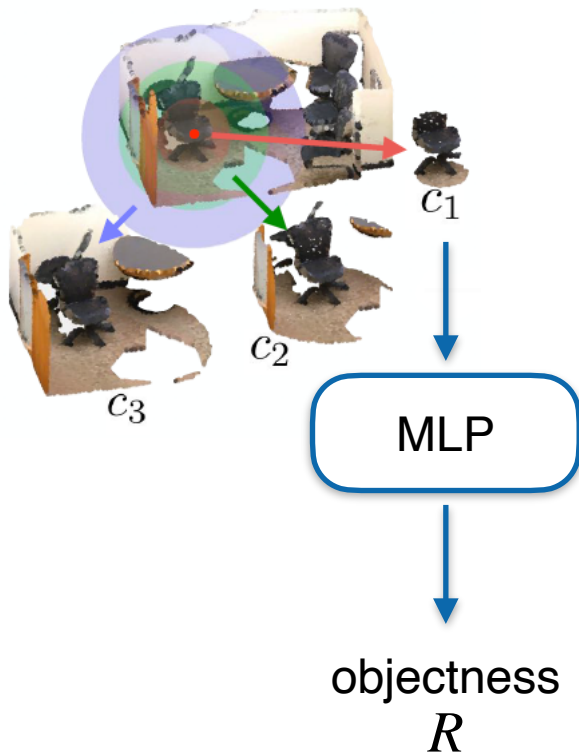
# Generate Proposal as a Point Cloud

- Take a seed point and local context of different scales



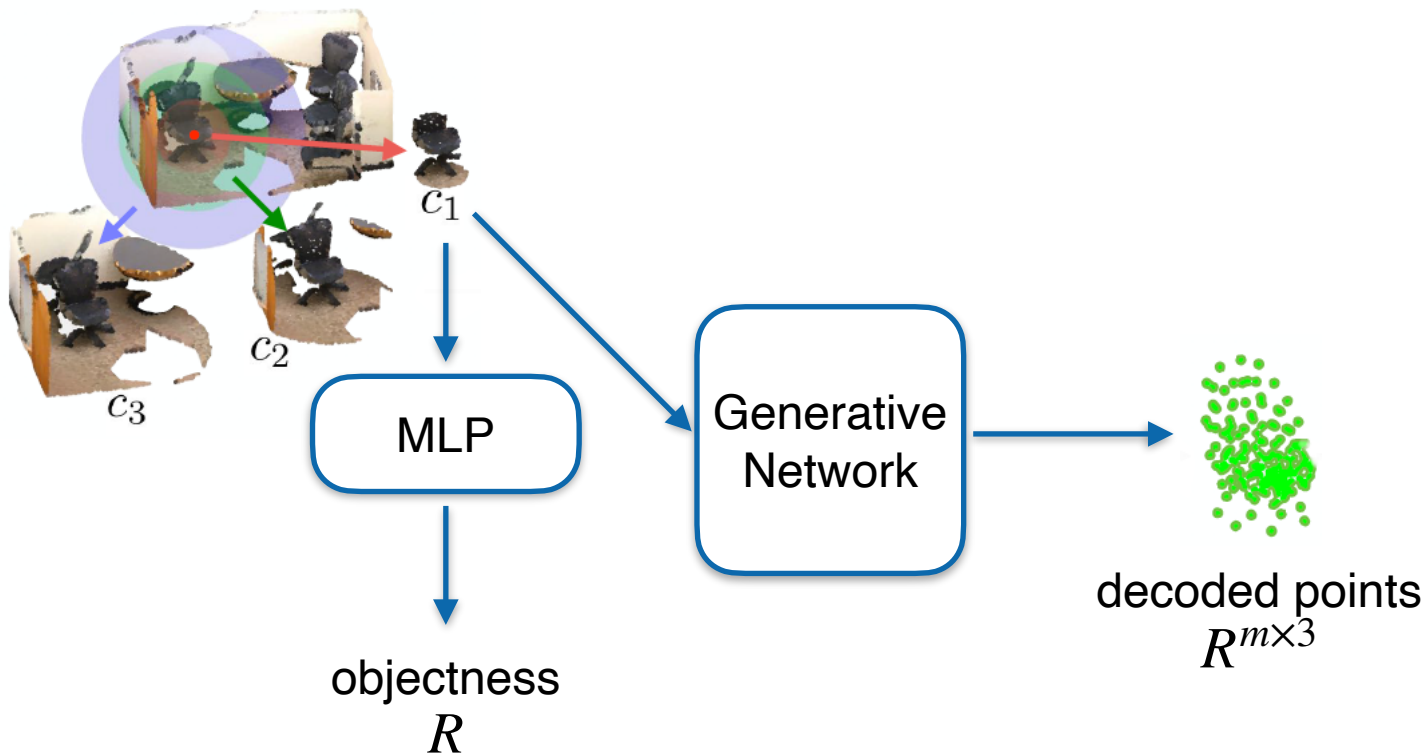
# Generate Proposal as a Point Cloud

- Predict “objectness” (object v.s. non-object)



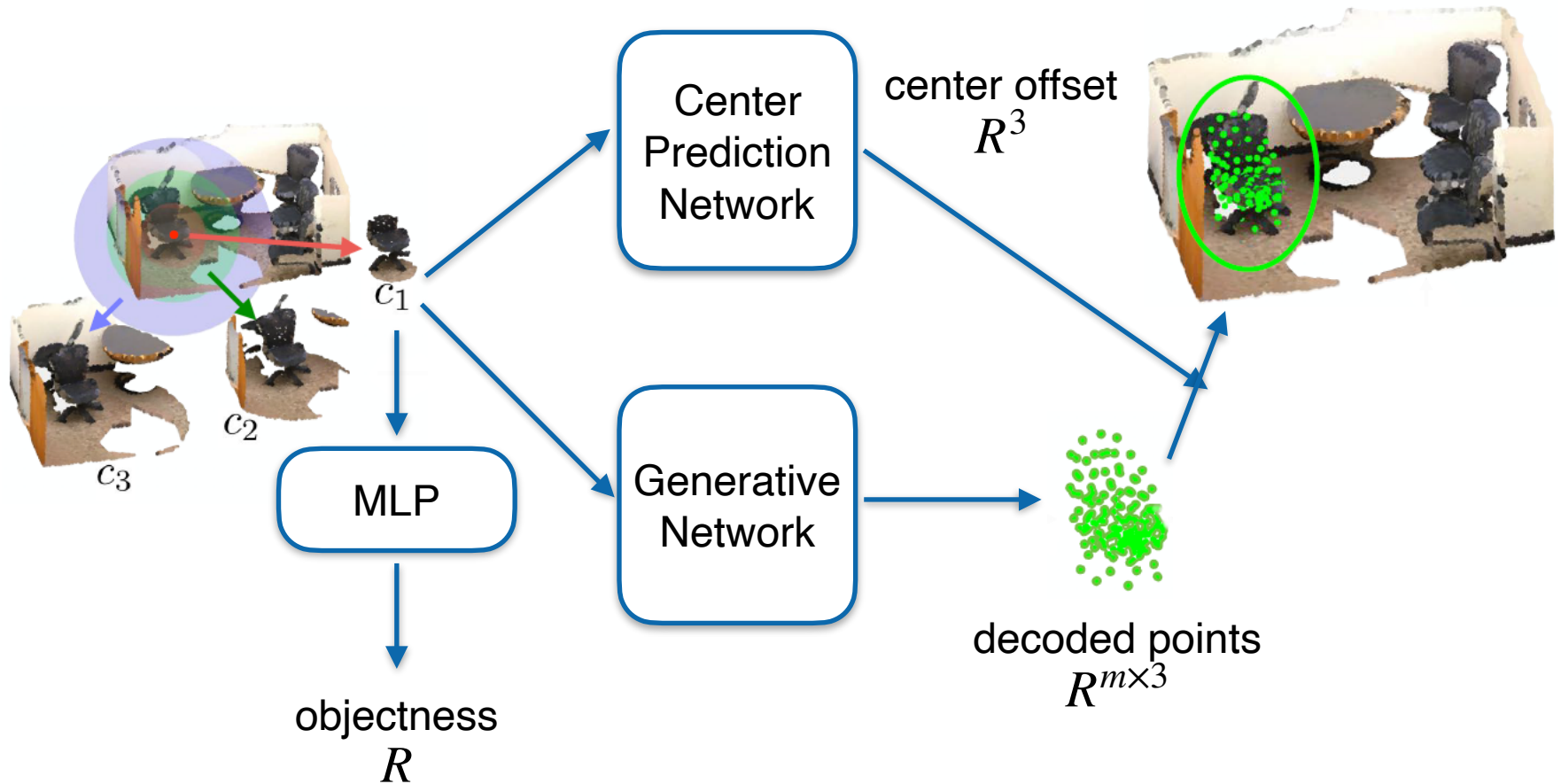
# Generate Proposal as a Point Cloud

- Decode points, e.g., by a fully-connected network, as in single-image to point cloud work



# Generate Proposal as a Point Cloud

- Predict a center offset from the seed point to the center of the instance





# Losses for Point Cloud Proposals

- Only for positive proposals
  - Center prediction loss: huber loss (smooth l1)
  - Shape generation loss: chamfer distance
- For all the proposals
  - Objectness loss: cross-entropy

**How to associate points  
with proposals?**

# Basic Idea

- Given the proposal, predict a binary mask for each point whether the point belongs to the instance

# Example: 3D-BoNet

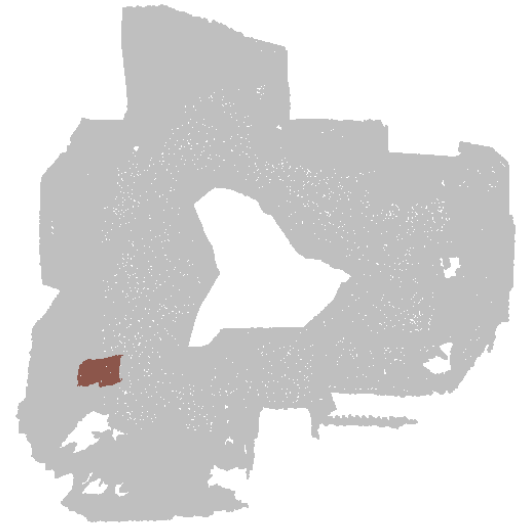
- Steps:
  - Extract per-point features  $\tilde{F}_l \in R^{N \times D}$
  - Get instance-aware features  $\hat{F}_l \in R^{N \times (D+7)}$ , e.g.,
    - point features (D dim)
    - bounding parameters (6 dim)
    - confidence (1 dim)
  - Predict point-wise mask  $M_i \in \{0,1\}^N$

# Point Label Generation and Loss

- Given the matched proposal and GT
  - For each proposal, we can induce a per-point binary mask given its corresponding GT



overall instance label



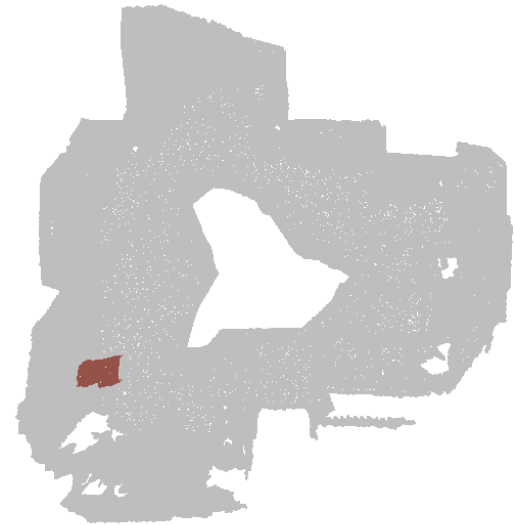
instance label for each proposal

# Point Label Generation and Loss

- Given the matched proposal and GT
  - For each proposal, we can induce a per-point binary mask given its corresponding GT
  - We use a cross-entropy loss to do per-point binary classification



overall instance label

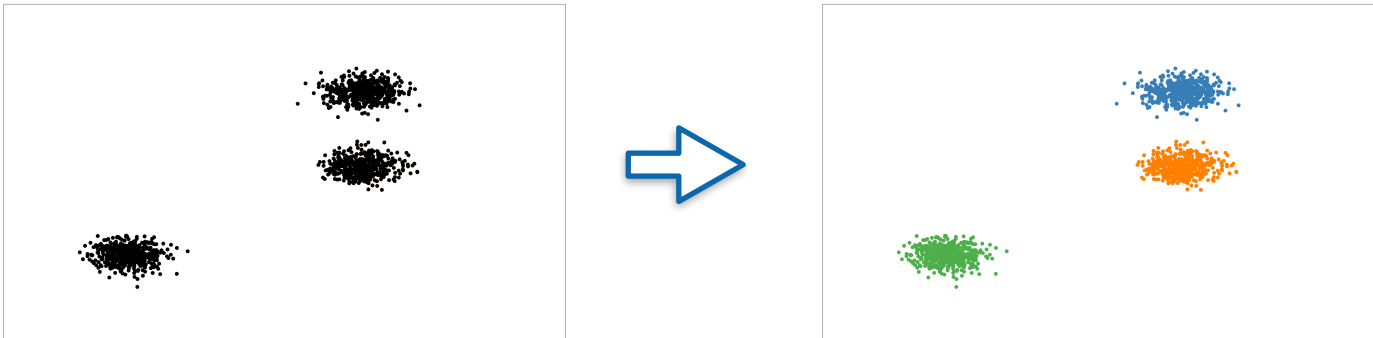


instance label for each proposal

# **Bottom-up Approaches**

# What is Bottom-up?

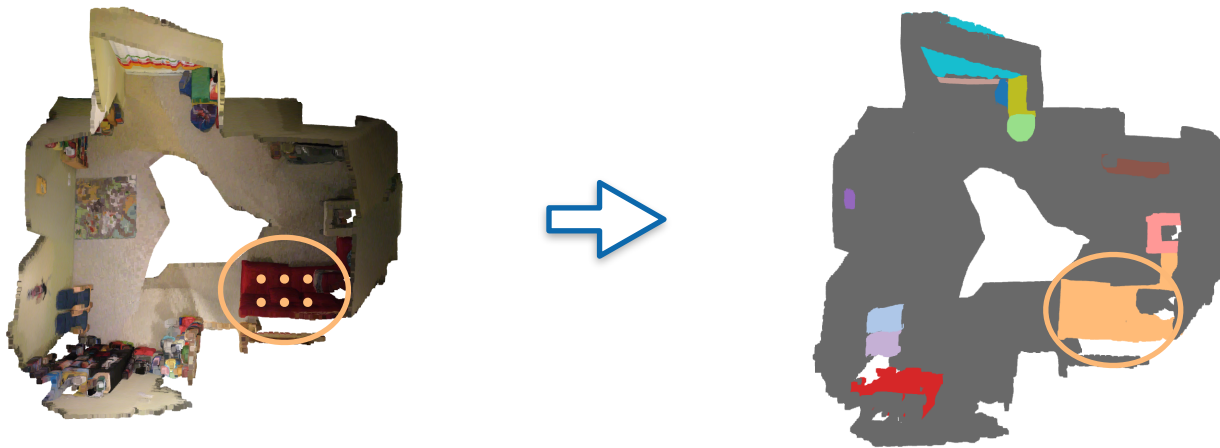
- A bottom-up approach is grouping the pieces of the points together to form an object.





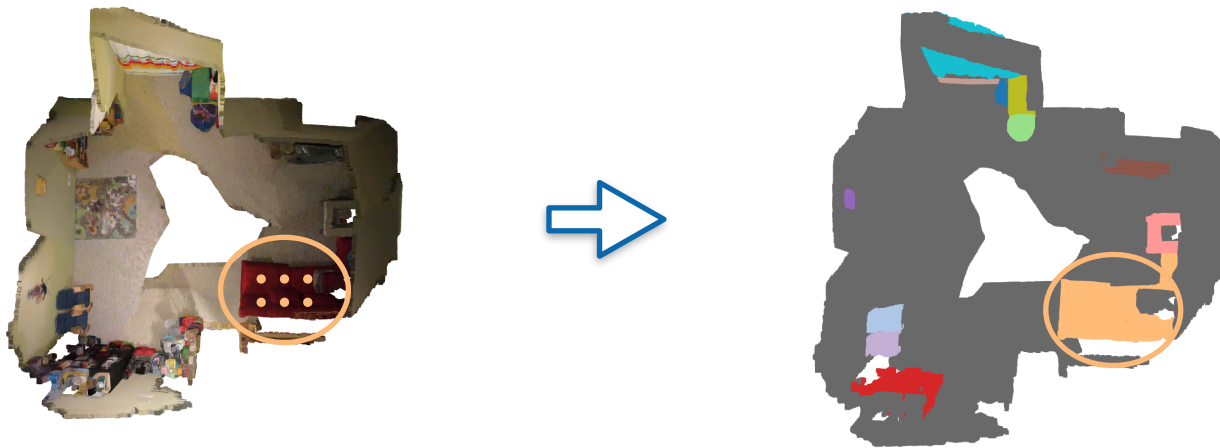
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# What is Bottom-up?

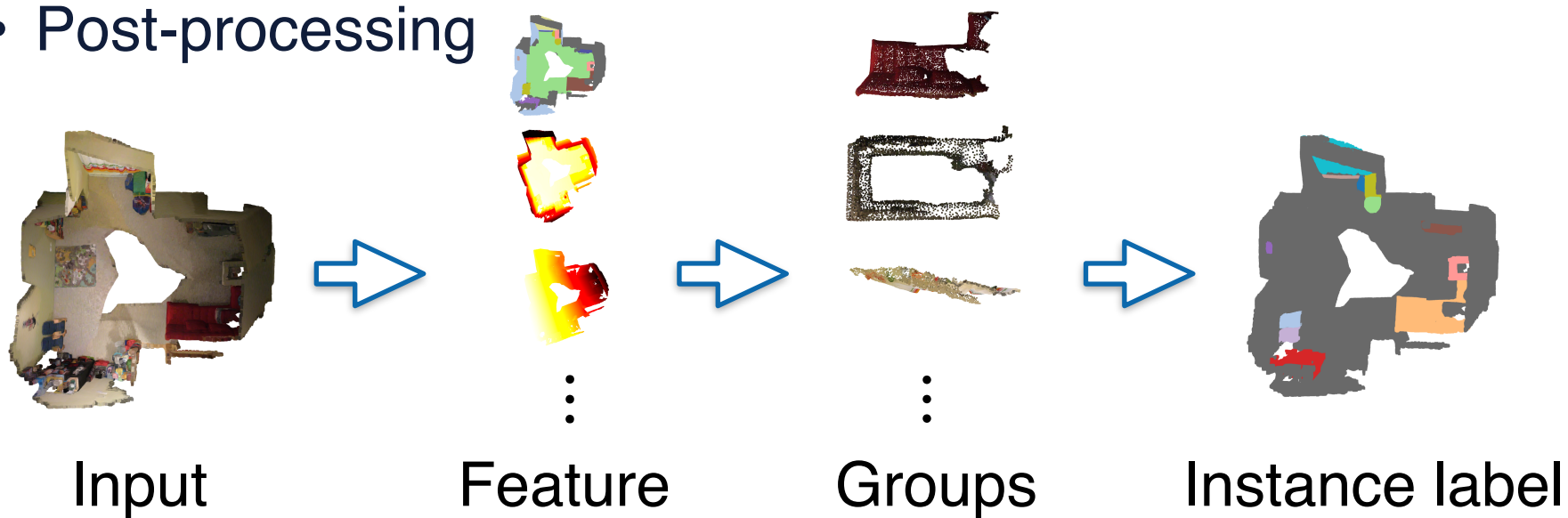
- A bottom-up approach is grouping the pieces of the points together to form an object.



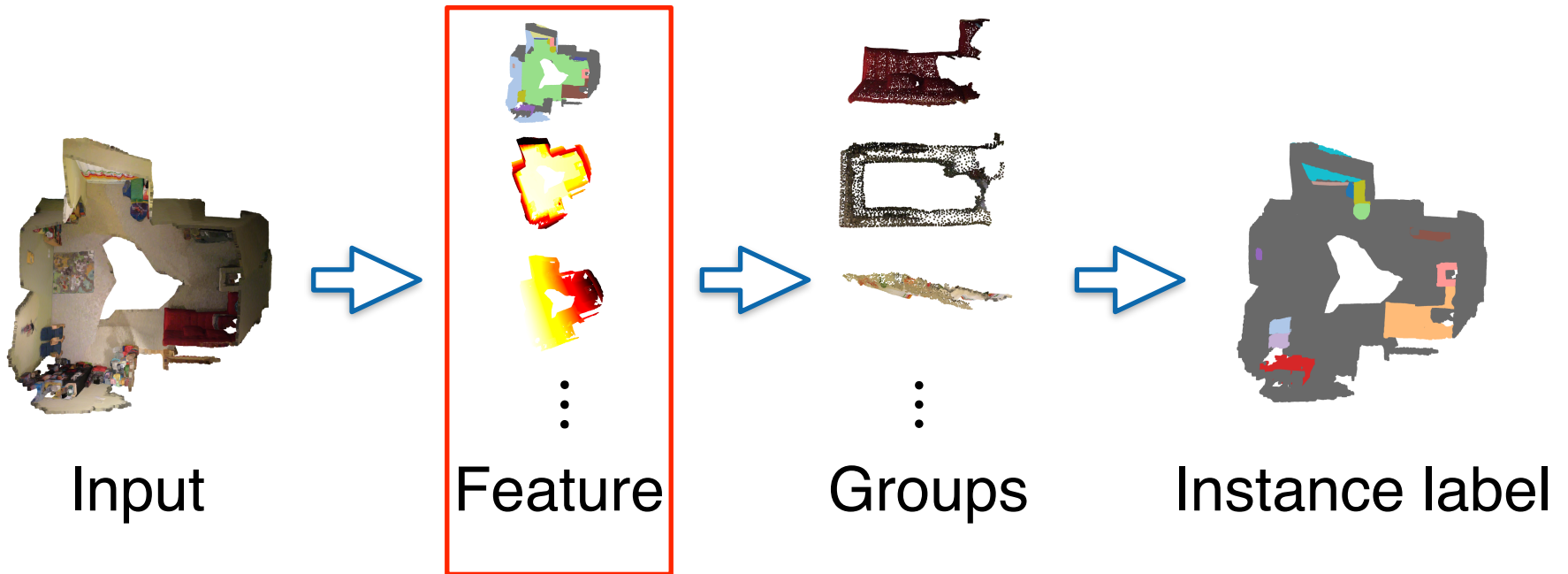
- In contrast, top-down: directly predict a proposal as object proxy and verify

# Grouping-based Instance Segmentation

- Key Question: What points/fragments should be grouped?
  - Distance function
- Group procedure
  - Grouping/Clustering algorithm
- Post-processing

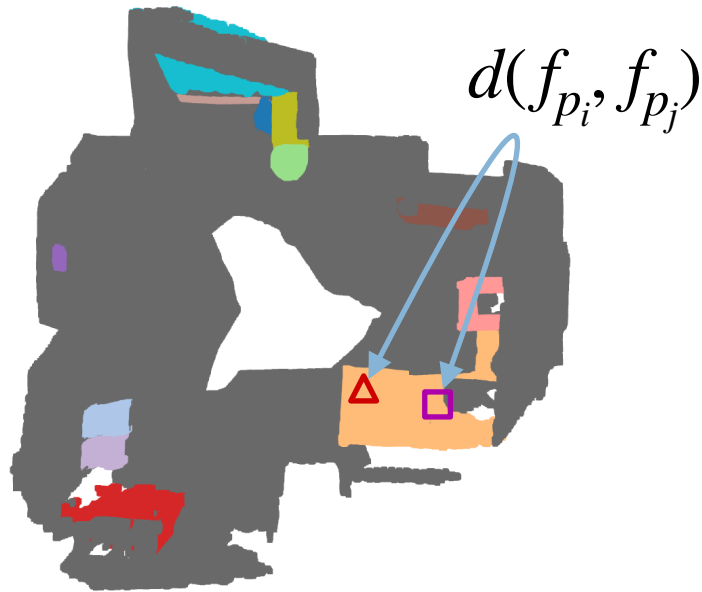


# Grouping-based Instance Segmentation



# Key Ideas

- Points in the same instance should be close in the **feature** space, such that clustering can be applied.

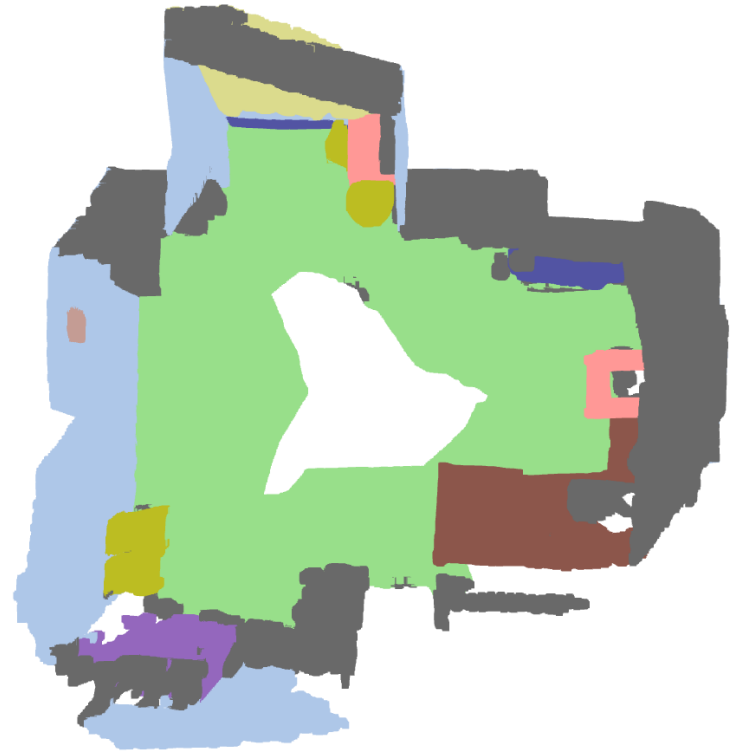


# Distance in Feature Space

- Common choice:  $L_p$ -distance
  - e.g.,  $L_1$ -distance:  $\|F_i - F_j\|_1$
- Potential features to consider:
  - Semantic features (about semantic label)
  - Spatial feature (about point location)
  - Instance feature (to distinguish instances)

# Candidate I: Semantic Feature

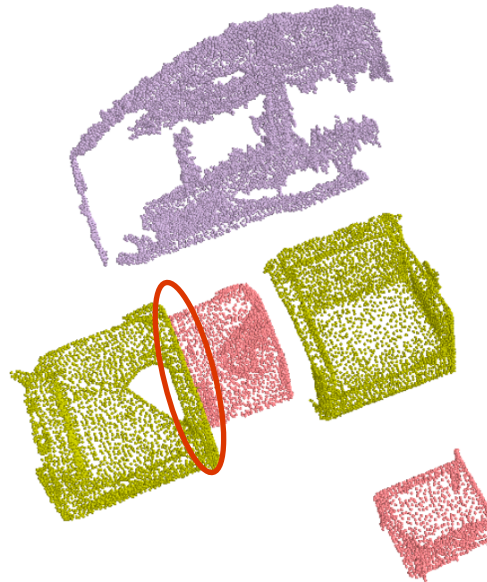
- Learn semantic feature for each point by point cloud segmentation loss.



MLAJiang, Li, et al. "Pointgroup: Dual-set point grouping for 3d instance segmentation." *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*. 2020.

# Candidate II: Spatial Feature

- Use 3D coordinates of points?
  - Reasonable, however,
  - Fails for points around object boundaries

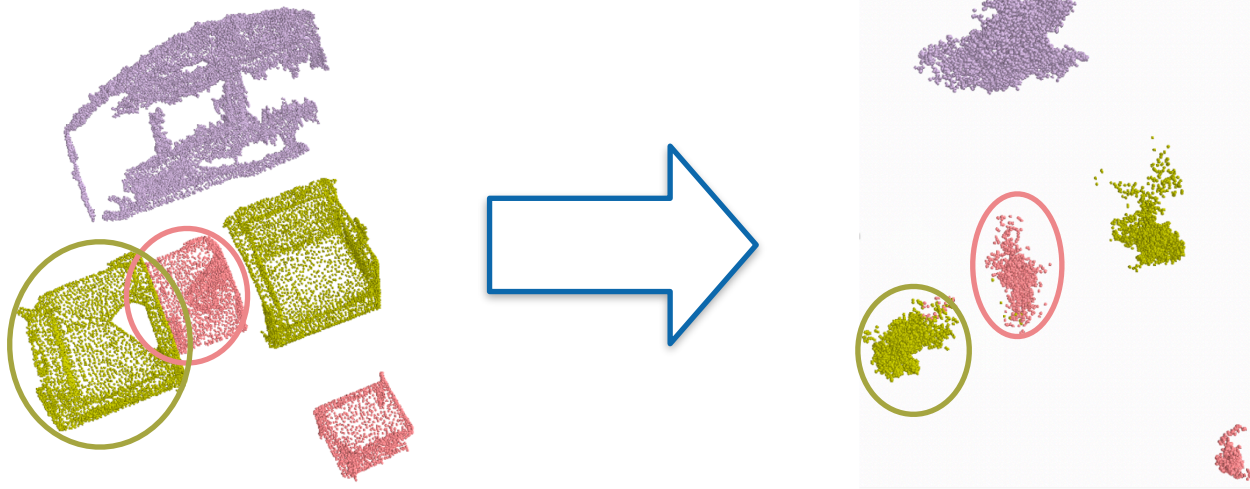


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# Candidate II: Spatial Feature

- Learn to predict object center coordinates, and use the predicted object center as the spatial feature

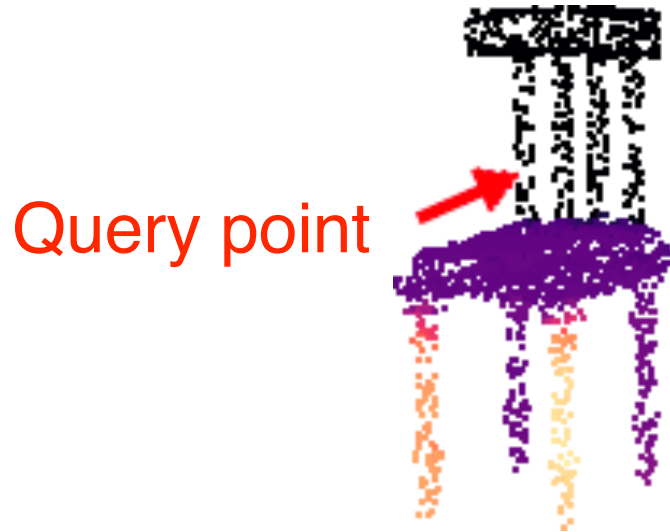


Predicted Object Centers

MLAJiang, Li, et al. "Pointgroup: Dual-set point grouping for 3d instance segmentation." *Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition*. 2020.

# Candidate II: Instance Features

- Fundamentally, we hope that the feature can be powerful enough to distinguish different instances
- Why not directly design a loss to learn it?!



Color map of distances between the given point and rest points (darker means closer)

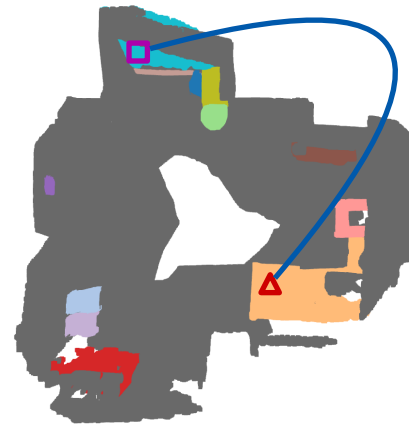
Wang, Weiyue, et al. "Sgpn: Similarity group proposal network for 3d point cloud instance segmentation." *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*. 2018.

# Contrastive Loss

- Build loss for each **pair of points** to train point features.



Semantic label



Instance label

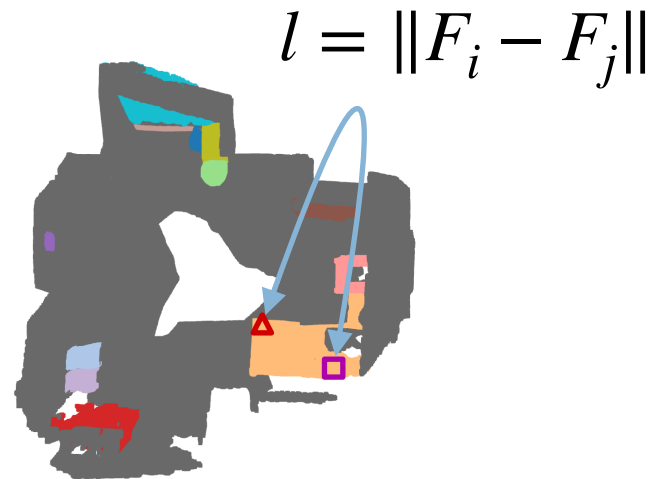
Wang, Weiyue, et al. "Sgpn: Similarity group proposal network for 3d point cloud instance segmentation." *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*. 2018.

# Same Instance Case

- Point  $i$  and point  $j$  belongs to in the same instance.



Semantic label



Instance label

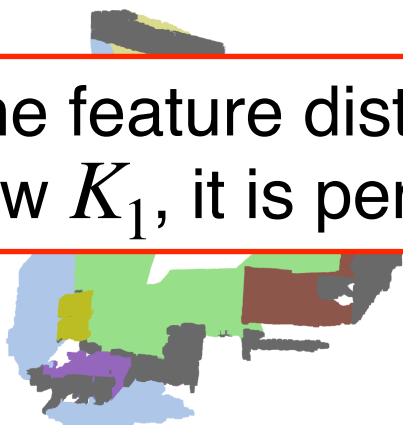
Wang, Weiyue, et al. "Sgpn: Similarity group proposal network for 3d point cloud instance segmentation." *Proceedings of the IEEE Conference on Computer Vision and Pattern Recognition*. 2018.

# Same Instance Case

- Point  $i$  and point  $j$  belongs to different instances with the same semantic label.

$$l(i, j) = \alpha \max(0, K_1 - \|F_i - F_j\|)$$

“If the feature distance is below  $K_1$ , it is penalized”

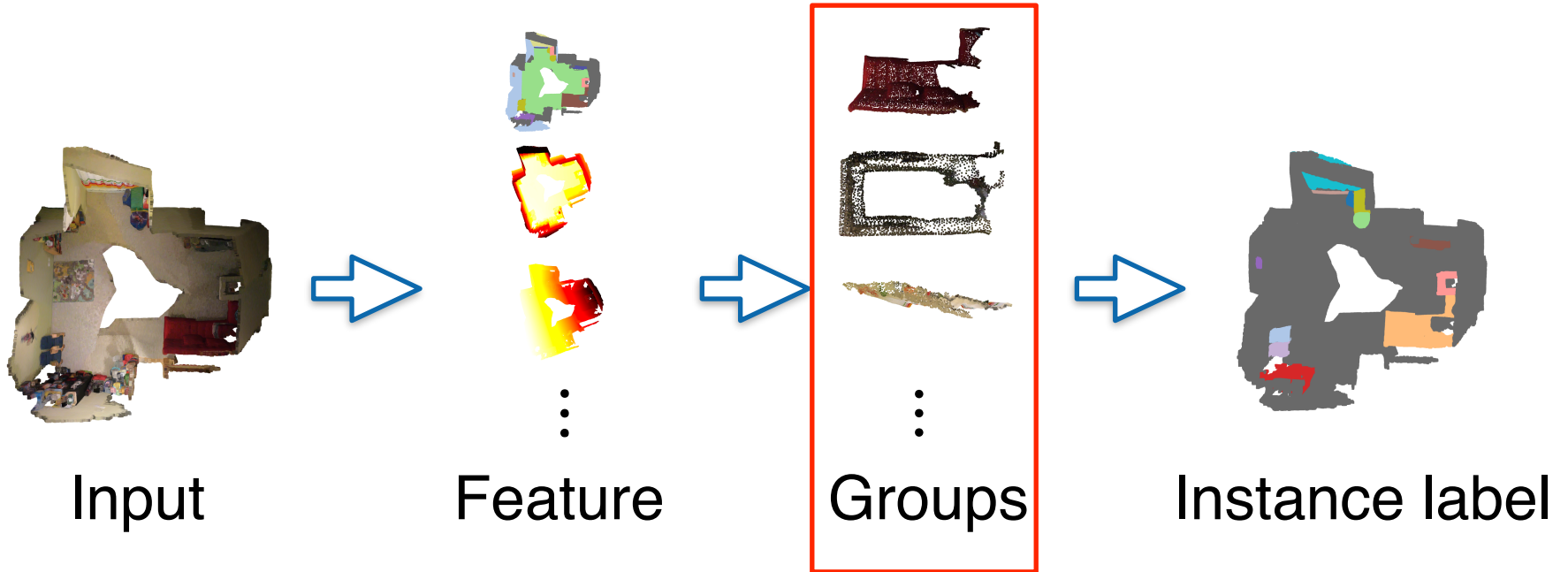


Semantic label



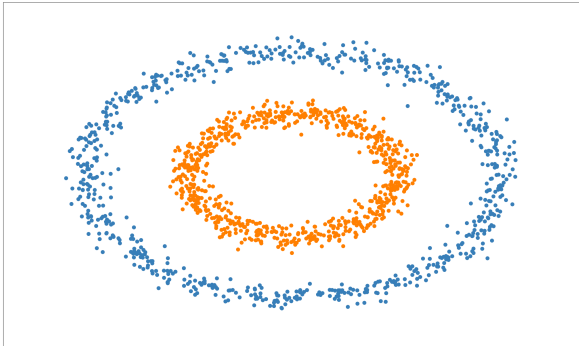
Instance label

# Grouping-based Instance Segmentation

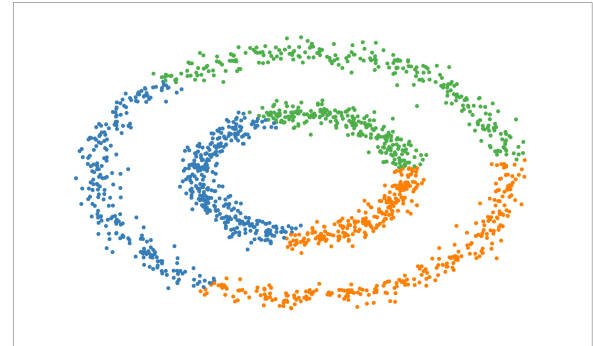


# Grouping by Clustering Point Features

- Choose your favorable clustering algorithm
  - DBSCAN
  - Mean shift
  - ...



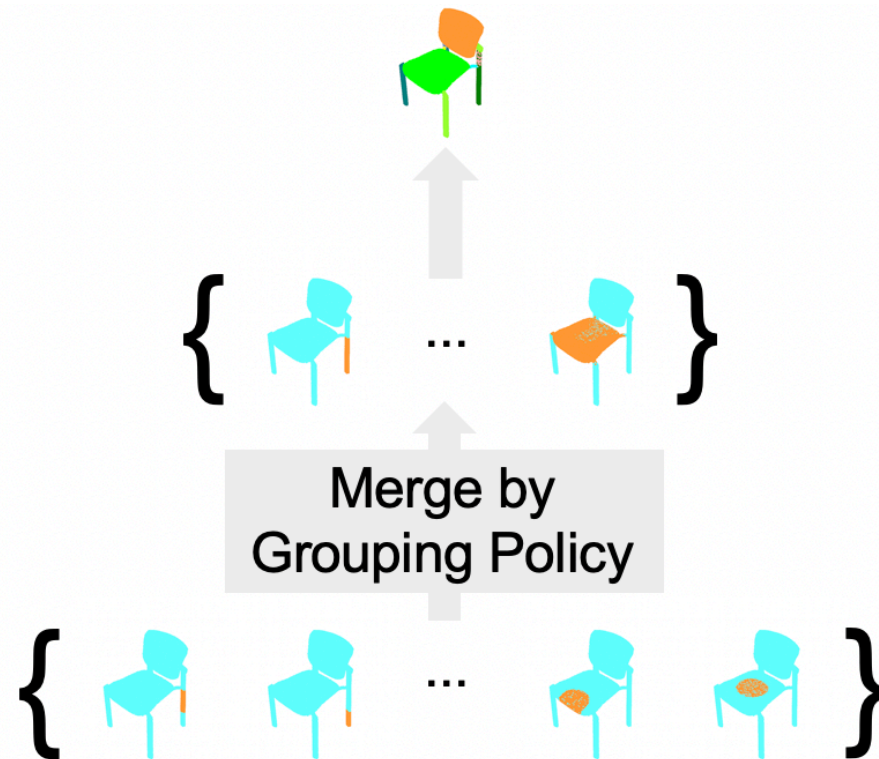
DBSCAN



Mean shift

# Point Feature → Merge Decision

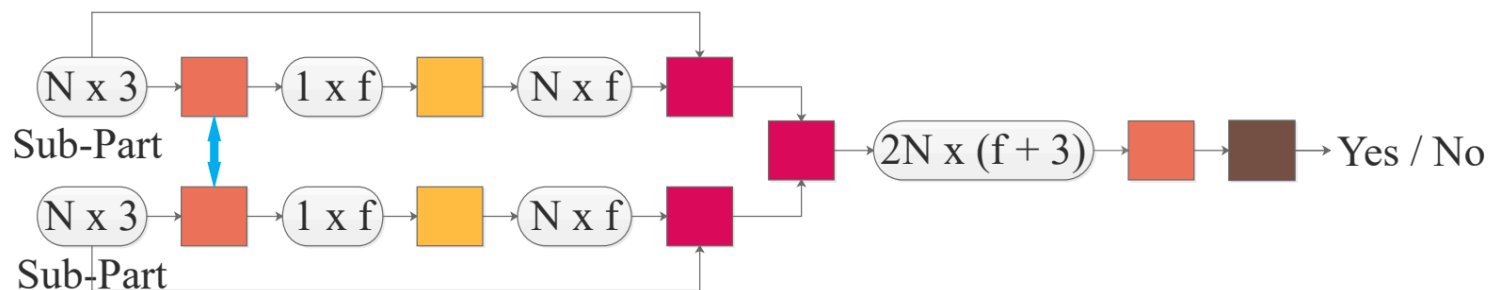
- Instead of learning a feature and tuning a grouping algorithm, can we directly learn a grouping algorithm?





# Learning to Group

- Assuming the instance consists of some parts.
- Core idea: use a neural network to predict if two parts should be merged into one instance.



(c) Verification Network

# Final Step: Post-Processing

- May also be achieved by learning methods
- e.g., we use a network to predict a score which can represent the IoU between prediction and ground truth, and remove instances with low scores.

